

Basics of Countability

Steve Ziskind

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- f is **onto** when every member of B is the value of $f(x)$ for some x in A .
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- f is **one-to-one** when distinct inputs from A give distinct values in B . That is, $f(x)=f(y)$ only when $x=y$.
- Such a function is said to establish a one-to-one correspondence between the elements of A and B .

Examples

- Example 1: let $A = [0, 1, 2, 3, \dots]$ and $\mathbb{N} = [1, 2, 3, \dots]$. The function $f(x)=x+1$ from A to $\mathbb{N}$ shows that $\text{card}(A)=\text{card}(\mathbb{N})$. The function f mechanizes a shift operation, a method we can/will use repeatedly.

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- Example 2: $\mathbb{N}$ and $\mathbb{Z}$ have the same cardinality. To list $\mathbb{Z}$ we write it as $0, -1, 1, -2, 2, -3, 3, \dots$
- An explicit mapping function from $\mathbb{Z}$ to $\mathbb{N}$ is:

$$f(x) = \begin{cases} 1 + 2x & \text{if } x \geq 0 \\ -2x & \text{if } x < 0 \end{cases}$$

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- To send A to B in a 1-1 way we use the shift operator on a particular subset of A , and use the identity function on the rest of A . Specifically, define

$$f(x) = \begin{cases} 1/(n+1) & \text{if } x = 1/n \\ x & \text{else} \end{cases}$$

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- Example 4: let A be the solid unit ball in $\mathbb{R}^3$ and let B be A with the center point removed. We could imitate the method of Example 3 by taking a ray from the center to the surface sphere, using the shift technique on it to remove the single endpoint, and sending everything else to itself.

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- Define our 1-1 function act as rotation by ρ on that set of points, and as the identity everywhere else. The origin disappears.

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- Within each sum, order from $1/n$ to $n/1$, with the numerator increasing and the denominator decreasing. Thus, the sequence begins: $1/1$; $1/2, 2/1$; $1/3, 2/2, 3/1$; $1/4, 2/3, 3/2, 4/1$; $1/5, \dots$

$\mathbb{N}$ versus $\mathbb{Q}$

$$\frac{1}{1} \quad \frac{1}{2} \quad \frac{1}{3} \quad \frac{1}{4} \quad \frac{1}{5} \quad \dots$$

$$\frac{2}{1} \quad \frac{2}{2} \quad \frac{2}{3} \quad \frac{2}{4} \quad \frac{2}{5} \quad \dots$$

$$\frac{3}{1} \quad \frac{3}{2} \quad \frac{3}{3} \quad \frac{3}{4} \quad \frac{3}{5} \quad \dots$$

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- The idea behind this array shows that: A countable union of countable/finite sets is countable.

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- Proof: Suppose that a list of the reals between 0 and 1 could be made: $\{x_1, x_2, x_3, \dots\}$
- Each x can be expressed as an infinite decimal:
$$x_1 = 0.x_{11}x_{12}x_{13}x_{14} \dots$$
$$x_2 = 0.x_{21}x_{22}x_{23}x_{24} \dots$$
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- Choose a sequence of digits: $a_1 \neq x_{11}, a_2 \neq x_{22}, a_3 \neq x_{33} \dots$

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- Because $\mathbb{Q}$ and $\mathbb{R}$ have different cardinalities, they cannot be the same set. This proves the existence of irrational numbers.

Example

- Example 5: Because $\mathbb{Q}$ is countable, and $\mathbb{R}$ is uncountable, it is "obvious" that the set of irrationals ($\mathbb{I}$) has the same cardinality as $\mathbb{R}$. Obvious is not the same as true. We need a 1-to-1 $f : \mathbb{R} \rightarrow \mathbb{I}$.

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- Let λ be your favorite irrational number. Consider the sets

$$\mathbb{Q}, \mathbb{Q} + \lambda, \mathbb{Q} + 2\lambda, \mathbb{Q} + 3\lambda, \dots$$

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- Now define $f : \mathbb{R} \rightarrow \mathbb{I}$ as

$$f(x) = \begin{cases} x + \lambda & \text{if } x \in A \\ x & \text{else} \end{cases}$$