## A FEW FACTS REGARDING NUMBER THEORY

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## 1. Notation.

To get started, we assume given the set of integers $\mathbb{Z}$, sometimes denoted

$$
\{\ldots,-2,-1,0,1,2, \ldots\} .
$$

As part of this assumption we suppose that the reader knows about the operations of addition and multiplication on integers and their basic properties, and also the usual order relation on these integers.

In particular, the operations of addition and multiplication are commutative and associative, there is the distributive property of multiplication over addition, and $m n=0$ implies one (at least) of $m$ or $n$ is 0 .

The set $\mathbb{N}$ consists of the non-negative integers.
All lower case individual variable symbols referred to in a mathematical discussion such as $a, b, c, d, r, s, t, x, y, p, q, \ldots$ will denote integers.

Any sets to which we refer will be subsets of $\mathbb{Z}$, and will be denoted by capitol letters such as $S, T$ or $V$.

We presume you have heard of and understand the arithmetic of the rational numbers but will never refer to rational numbers except through an explicit ratio $p / q$ of integers. Rational numbers are not "first-class" entities in our discussion. We say two representations $p / q$ and $m / n$ refer to the same rational number exactly when $p n=q m$, and in that case write $p / q=m / n$. If a rational number has representation $m / 1$ we identify that rational number with the integer $m$.

For the sake of brevity we will often use the following symbols, which are in common usage among math folk:

```
\exists "There Exists"
\exists! "There Exists a Unique" or "There Exists One and Only One"
\forall "For All"
| "Divides"
\dagger "Does Not Divide"
C "Is a Subset of "
\in "Is an Element of " or "In"
# "Implies"
\Leftrightarrow "Implies and is Implied By" or "If and Only If"
s.t. "Such That"
\varnothing "the Empty Set"
\square "End of Proof" or "Quod Erat Demonstrandum" or "Q.E.D."
```

Much of the content of this collection of notes is adapted from the very readable Burton Elementary Number Theory (Bur07] and the classic Hardy and Wright An Introduction to the Theory of Numbers [HW79, while expansion upon basic algebra facts can be found in Herstein Topics in Algebra Her75. Those authors are not responsible for any misinterpretations or errors or typos which the reader may find herein.

## 2. Well Ordering and Induction.

The results of this section are the bricks and mortar of the house we will build. The extent to which the first five are Axioms, to be assumed, or Theorems, to be proven, must be addressed elsewhere. Please assume these five results as your starting point.

Except ${ }^{1}$ in Section 23, declarative statements in the text such as remarks, theorems, lemmas, propositions and corollaries are all to be proven or justified by the interested student. That includes filling any lacunae in arguments or proofs presented in the text.

Few proofs are given explicitly in the first few sections of text but later, as the results become more difficult, proofs (or outlines of proofs) are generally provided.

### 2.1. Theorem. The Well Ordering Principle:

Every nonempty set $S \subset \mathbb{N}$ contains a least element.

### 2.2. Theorem. Archimedean Order Property:

$\forall a, b \in \mathbb{N}$ with $a>0 \exists$ ! least $n$ s.t. an $>b$.

### 2.3. Theorem. Finite Induction (I):

If $S \subset \mathbb{N}$ and $0 \in S$ and $(k \in S \Rightarrow k+1 \in S)$ then $S=\mathbb{N}$.

### 2.4. Theorem. Finite Induction (II):

If $S \subset \mathbb{N}$ and $0 \in S$ and (whenever $k>0$ and $j \in S \forall 0 \leq j<k$ then $k \in S$ ) then $S=\mathbb{N}$.
2.5. Theorem. Suppose $a, b$ are positive integers and $m$ is an integer.
(i) $a \leq b \Leftrightarrow-a \geq-b$.
(ii) $a \leq b \Leftrightarrow a+m \leq b+m$.
(iii) $a b \geq a m \Leftrightarrow b \geq m$.
(iv) If $m=a b$ then both $a \leq m$ and $b \leq m$. And if $a>1$ then $b<m$.
(v) If $\varnothing \neq S$ and $S \subset \mathbb{N}$ and $\exists n \in \mathbb{N}$ s.t. $s \leq n \forall s \in S$ then $S$ contains a largest member.

### 2.6. Theorem. The Binomial Theorem:

If $n>0$ then $(a+b)^{n}=\sum_{k=0}^{n}\binom{n}{k} a^{k} b^{n-k}$ where $\binom{n}{k}=\frac{n!}{k!(n-k)!}$.

### 2.7. Theorem. The Division Algorithm:

$\forall a, b$ with $b>0 \exists!r, q$ for which $a=b q+r$ and $0 \leq r<b$.

[^0]
## 3. Intervals of Integers.

3.1. Definition. An interval of integers is a set of the form

$$
I_{j, k}=\{j+t \mid 0 \leq t<k\} \quad \text { for positive integer } k \text { and any integer } j .
$$

We will use $\Pi_{j, k}$ to denote the product of the members of $I_{j, k}$. This might be represented (imprecisely) as

$$
\Pi_{j, k}=j(j+1)(j+2) \cdots(j+k-1)
$$

3.2. Remark. $\Pi_{1, k}$ is the number often indicated by $k$ ! and for positive $j$ the binomial coefficient $\binom{j+k-1}{j-1}$ is $\Pi_{j, k} / \Pi_{1, k}$.

We would like to conclude that the ratio $\Pi_{j, k} / \Pi_{1, k}$ is an integer for any $j$ and positive $k$. In our remark here we restrict attention to positive $j$.

The ratio is obviously an integer if either $j$ or $k$ are 1.
If $\Pi_{j, k} / \Pi_{1, k}$ fails to be an integer then it fails to be an integer for some least $k$ and, for that $k$, some least $j$, both of which must exceed 1 .

We are assuming, by this, that both

$$
\begin{gathered}
\frac{j(j+1) \cdots(j+k-2)}{(k-1)!} \text { and } \\
\frac{(j-1) j(j+1) \cdots(j+k-2)}{k!}=\left(\frac{j-1}{k}\right) \frac{j(j+1) \cdots(j+k-2)}{(k-1)!}
\end{gathered}
$$

are whole numbers. But if that is true then

$$
\begin{aligned}
\Pi_{j, k} / \Pi_{1, k} & =\frac{j(j+1) \cdots(j+k-1)}{k!} \\
& =\frac{j(j+1) \cdots(j+k-2)}{(k-1)!}\left(\frac{j+k-1}{k}\right) \\
& =\frac{j(j+1) \cdots(j+k-2)}{(k-1)!}\left(\frac{j-1}{k}\right)+\frac{j(j+1) \cdots(j+k-2)}{(k-1)!}
\end{aligned}
$$

is the sum of two integers and therefore, itself, an integer. This is contrary to assumption. We conclude the ratio must be an integer for all positive $j$ and $k$.

This implies (if you have no other way of seeing this) that the coefficients in the binomial theorem are integers.
3.3. Proposition. $\Pi_{j, k} / \Pi_{1, k}$ is an integer for any $j$ and any positive $k$.

## 4. Greatest Common Divisor and Least Common Multiple.

4.1. Definition. We write $a \mid b$ (read as " $a$ divides $b$ ") when $a \neq 0$ and $b=k a$ for some $k$. We write $a \nmid b$ when $a \neq 0$ and $b=k a+r$ for some $k$ and $r$ with $0<r<|a|$.
4.2. Remark. (i) The values of $k$ and $r$ in the definition above are unique for each nonzero $a$ and $b$.
(ii) If $a \neq 0$ then for each $b$ either $a \mid b$ or $a \nmid b$.
(iii) If $a \mid b$ and $b \mid a$ then $a= \pm b$.
4.3. Definition. A nonempty set $S$ is called an ideal if $x s_{1}+y s_{2} \in S$ whenever $s_{1}$ and $s_{2}$ are in $S$ and any $x, y \in \mathbb{Z}$. We say " $S$ is closed under linear combinations with coefficients in $\mathbb{Z}$."

The set $\{0\}$ is obviously an ideal, called the trivial ideal. $\mathbb{Z}$ itself is an ideal. If $n$ is any integer the set $n \mathbb{Z}$ defined to be $\{n x \mid x \in \mathbb{Z}\}$ is an ideal, called the ideal generated by $n$.
4.4. Lemma. (i) If $n$ is any integer and $S$ is an ideal the set $n S$ defined to be $\{n x \mid x \in S\}$ is an ideal.
(ii) If $T$ is another ideal, the set $S+T$ defined to be $\{x+y \mid x \in S, y \in T\}$ is an ideal and $S+T=T+S$.
(iii) If $V$ is another ideal then $S+(V+T)=(S+V)+T$.
(iv) If $T \subset V$ then $V+T=V$.
(v) For nonzero $i$ and $j, j \mathbb{Z} \subset k \mathbb{Z} \Leftrightarrow k \mid j$.
(vi) $j \mathbb{Z}+k \mathbb{Z}=k \mathbb{Z} \Leftrightarrow j \mathbb{Z} \subset k \mathbb{Z}$.
4.5. Theorem. If $S$ is a nontrivial ideal there exists
a unique positive $n$ for which $S=n \mathbb{Z}$.
Proof. Let $n$ be the least positive member of $S$. Obviously $n \mathbb{Z} \subset S$. Suppose $k \in S$. So there are numbers $j$ and $r$ with $0 \leq r<n$ with $k=j n+r$. But then $r=k-j n \in S$, and the minimality of $n$ among such numbers forces $r=0$. So $S \subset n \mathbb{Z}$.
4.6. Definition. For ideals $S$ and $T$ define $S T$ to be $\{s t \mid s \in S$ and $t \in T\}$.
4.7. Corollary. If $S$ and $T$ are ideals so is $S T$. In fact, if

$$
S=j \mathbb{Z} \text { and } T=k \mathbb{Z} \text { then } S T=(j k) \mathbb{Z}=j(k \mathbb{Z})
$$

4.8. Definition. Suppose $a, b$ are not both 0 . We write $d=\boldsymbol{g c d} \boldsymbol{a} \boldsymbol{a}, \boldsymbol{b})$ when $d \mid a$ and $d \mid b$ and whenever $c \mid a$ and $c \mid b$ then $c \mid d$. The number $d$ is called the greatest common divisor (short form: GCD) of $a$ and $b$. Greatest common divisors exist.
4.9. Theorem. $d=\operatorname{gcd}(a, b)$ is the least positive integer that can be formed as $d=a x+b y$ for $x, y \in \mathbb{Z}$.
Therefore $a \mathbb{Z}+b \mathbb{Z}=d \mathbb{Z}$, and
whenever $a \mathbb{Z}+b \mathbb{Z}=n \mathbb{Z}$ then $n= \pm d$.
4.10. Remark. Some texts define $\operatorname{gcd}(a, b)$ for integers $a$ and $b$ in a slightly different way: as the positive integer $d$ for which $d \mid a$ and $d \mid b$ and if $c \mid a$ and $c \mid b$ then $c \leq d$. The two definitions are equivalent.
4.11. Proposition. If $b \neq 0, \operatorname{gcd}(a, b)=|b| \Leftrightarrow a=b m$ for some $m$.
4.12. Definition. Suppose $a, b$ are not both 0 . The numbers $a$ and $b$ are said to be relatively prime or, synonymously, coprime whenever $\operatorname{gcd}(a, b)=1$.
This is equivalent to the condition $a \mathbb{Z}+b \mathbb{Z}=\mathbb{Z}$.
4.13. Definition. Suppose $a_{1}, \ldots, a_{k}$ are all nonzero for some $k>2$. We write $d=\boldsymbol{g c d}\left(\boldsymbol{a}_{\mathbf{1}}, \ldots, \boldsymbol{a}_{\boldsymbol{k}}\right)$ when $d \mid a_{i} \forall i$ and whenever $c \mid a_{i} \forall i$ then $c \mid d$. There actually is a number of this kind for every finite set of $a_{i}$, and this number $d$ is called the greatest common divisor of these $a_{i}$.
4.14. Theorem. $d=\operatorname{gcd}\left(a_{1}, \ldots, a_{k}\right)$ is the least positive integer that can be formed as $d=a_{1} x_{1}+\cdots+a_{k} x_{k}$ for $x_{i} \in \mathbb{Z}$. This is equivalent to the condition $a_{1} \mathbb{Z}+\cdots+a_{k} \mathbb{Z}=d \mathbb{Z}$.
4.15. Proposition. Suppose $a_{1}, \ldots, a_{k}$ are all nonzero for some $k>2$. $\operatorname{gcd}\left(a_{1}, \ldots, a_{k}\right)=\operatorname{gcd}\left(\operatorname{gcd}\left(a_{1}, \ldots, a_{k-1}\right), a_{k}\right)$.
4.16. Lemma. (i) For positive $d, \operatorname{gcd}(a, b)=d$ if and only if $d \mid a$ and $d \mid b$ and $\operatorname{gcd}\left(\frac{a}{d}, \frac{b}{d}\right)=1$.
(ii) If $\operatorname{gcd}(a, b)=a x+b y$ then $\operatorname{gcd}(x, y)=1$.
(iii) Euclid's Lemma: If $a \mid b c$ and $\operatorname{gcd}(a, b)=1$ then $a \mid c$.
(iv) If $a \mid c$ and $b \mid c$ and $\operatorname{gcd}(a, b)=1$ then $a b \mid c$.
(v) If $k>0$ and $a, b$ are not both 0 then

$$
\operatorname{gcd}(k a, k b)=k \operatorname{gcd}(a, b)
$$

4.17. Theorem. If $a>b>r \geq 0$ and $a=b k+r$ then $\operatorname{gcd}(a, b)=\operatorname{gcd}(r, b)$.
4.18. Remark. Iterating the calculation identified in Theorem 4.17 provides a means of producing $d=\operatorname{gcd}(a, b)$ which can also be used to calculate the $x, y$ pair for which $a x+b y=d$. This process is called the Euclidean Algorithm, described in book VII of Euclid's ${ }^{2}$ Elements.

As an example we produce $\operatorname{gcd}(10600,113)$.
Using long division we find, successively:
$10600=113 \cdot 93+91, \quad 113=91 \cdot 1+22, \quad 91=22 \cdot 4+3, \quad 22=7 \cdot 3+1$.
This means

$$
\operatorname{gcd}(10600,113)=\operatorname{gcd}(113,91)=\operatorname{gcd}(91,22)=\operatorname{gcd}(22,3)=\operatorname{gcd}(3,1)
$$

at which point the process terminates by repetition at a value of 1 .
But working backwards (which takes fewer multiplication steps than the number of divisions used above) we also have

$$
\begin{aligned}
1 & =22-7 \cdot 3=22-7 \cdot(91-22 \cdot 4)=29 \cdot 22-7 \cdot 91 \\
& =29 \cdot(113-91)-7 \cdot 91=29 \cdot 113-36 \cdot 91 \\
& =29 \cdot 113-36 \cdot(10600-113 \cdot 93)=(29+36 \cdot 93) \cdot 113-36 \cdot 10600 \\
& =3377 \cdot 113-36 \cdot 10600 .
\end{aligned}
$$

which produces 1 as the required combination of 113 and 10600 .
4.19. Definition. If $a$ and $b$ are nonzero we write $\boldsymbol{l c m}(\boldsymbol{a}, \boldsymbol{b})=m$ if $m>0$ and $a \mid m$ and $b \mid m$ and whenever $a \mid c$ and $b \mid c$ then $m \mid c$. The number $m$ is called the least common multiple (shorter form: LCM) of $a$ and $b$.
4.20. Proposition. If $a$ and $b$ are nonzero then $\operatorname{lcm}(a, b)=\frac{a b}{\operatorname{gcd}(a, b)}$.
4.21. Corollary. For nonzero $a$ and $b, l c m(a, b)=a b \Leftrightarrow \operatorname{gcd}(a, b)=1$.

[^1]
## 5. A Theorem of Lamé.

Gabriel Lamé ${ }^{3}$ showed that the Euclidean Algorithm will terminate at the greatest common divisor using a predictable, and manageably small, number of steps. This may be the first recorded example (1844) of "time to terminate" for an algorithm, a subject of vital importance today.

Before proving this result we define and discuss (a little) the Fibonnaci ${ }^{4}$ sequence, used in the proof to follow.

This sequence is defined inductively by $F_{0}=0, F_{1}=1$ and generally, for $n>1$, by $F_{n+1}=F_{n}+F_{n-1}$. Thus $F_{2}=1, F_{3}=2, F_{4}=3, F_{5}=5, F_{6}=$ $8, F_{7}=13$ and so on.

The following lemma guarantees that the Fibonacci sequence gains at least one (base 10) digit in length every five steps along the sequence.
5.1. Lemma. $F_{n+5}>10 \cdot F_{n}$ for all $n \geq 2$.

Proof. $F_{7}=13>10 \cdot F_{2}=10$. So we have the result for $n=2$.
And if $n \geq 3$ we have

$$
\begin{aligned}
F_{n+5} & =F_{n+4}+F_{n+3}=F_{n+3}+F_{n+2}+F_{n+3}=2\left(F_{n+2}+F_{n+1}\right)+F_{n+2} \\
& =3 \cdot F_{n+2}+2 F_{n+1}=3\left(F_{n+1}+F_{n}\right)+2 F_{n+1}=5 F_{n+1}+3 F_{n} \\
& =8 F_{n}+5 F_{n-1} .
\end{aligned}
$$

Since the sequence is non-decreasing we know $F_{n}=F_{n-1}+F_{n-2} \leq 2 F_{n-1}$ and the result is proved.

### 5.2. Proposition. Lamé's Theorem

Using the Euclidean algorithm as above to produce the greatest common divisor of two numbers terminates after no more than 5 times the number of digits (base 10) of the shorter of the two numbers.

Proof. Suppose $x$ and $y$ are positive and $x>y$ and the Euclidean algorithm requires exactly $n$ steps to produce the greatest common divisor of these two numbers.

[^2]Letting $x=x_{n}$ and $y=x_{n-1}$ we reproduce this sequence of divisions below.

$$
\begin{aligned}
x_{n}= & m_{n} \cdot x_{n-1}+x_{n-2} \\
x_{n-1} & =m_{n-1} \cdot x_{n-2}+x_{n-3} \\
& \vdots \\
x_{3} & =m_{3} \cdot x_{2}+x_{1} \\
x_{2} & =m_{2} \cdot x_{1}+x_{0} \\
x_{1} & =m_{1} \cdot x_{0}
\end{aligned}
$$

In each line but the last, $x_{k}, x_{k-1}, x_{k-2}$ are in strictly decreasing order with $m_{k}$ at least 1 . In the last line the least common denominator, $x_{0}$ is less than $x_{1}$ and $m_{1}$ is at least 2.

That means that the smallest possible numbers that would reproduce a list of equations like this are Fibonnaci numbers with $x_{k}=F_{k+2}$ for $0 \leq k \leq n$.

So $x_{5}$ (if there is a term like this) must have at least one more digit than $x_{0}$, and $x_{10}$ must have at least one more digit than $x_{5}$ and so on. Since $x_{0}$ itself has at least one digit, the complete number of divisions on the list, $n$, cannot exceed 5 times the number of digits of $x_{n-1}$ as stated in the proposition.

We note that the Fibonacci numbers $F_{12}=144$ and $F_{11}=89$ provide an example where the Euclidean algorithm does require 10 divisions to achieve the last line of the calculation, showing that the number 5 of the proposition cannot be improved upon.

## 6. Linear Diophantine Equations.

6.1. Definition. A Diophantine Equation is an equation that is to be solved for integer values of any variables involved.
6.2. Proposition. The Diophantine ${ }^{5}$ Equation $a x+b y=c$ in variables $x$ and $y$ has a solution exactly when $\operatorname{gcd}(a, b)=d \mid c$.

In that case, and if $x_{0}, y_{0}$ is any particular solution, all others can be found among the paired numbers

$$
x=x_{0}+\frac{b}{d} t, \quad y=y_{0}-\frac{a}{d} t \quad \text { for any integer } t
$$

which are (each pair) solutions for every $t$.

[^3]6.3. Remark. If Diophantine Equation $a x+b y=c$ has a solution then those solutions are exactly the solutions of $\frac{a}{d} x+\frac{b}{d} y=\frac{c}{d}$ and this last equation is of the form $r x+s y=m$ where $\operatorname{gcd}(r, s)=1$.

So if you can find $\bar{x}$ and $\bar{y}$ (by the Euclidean Algorithm, for instance) so that $r \bar{x}+s \bar{y}=1$ then a particular solution to our original equation will be given as $x_{0}=m \bar{x}$ and $y_{0}=m \bar{y}$. All other solutions can be found as $x=m x_{0}+s t, y=m y_{0}-r t$ as prescribed in Proposition 6.2.

As another point, we have a method for finding a particular solution to $a x+b y=d$ where $d=\operatorname{gcd}(a, b)$. This tells us about all the others. The $x$ values all differ from each other by $\frac{b}{d} t$ while the corresponding $y$ values differ by $\frac{-a}{d} t$ for the same integer $t$. In particular, if $a$ and $b$ are nonzero we can always choose the value of $x$ to satisfies $0 \leq x<\frac{b}{d}$, and there is only one solution for which the $x$ value satisfies that inequality.

## 7. Prime Factorization.

7.1. Definition. A number $p>1$ is called prime if

$$
a \mid p \Rightarrow a= \pm 1 \quad \text { or } \quad a= \pm p .
$$

A number exceeding 1 that is not prime is called composite.
A negative number is called composite if its negative, which is positive, is composite.
Note that the numbers $0,-1$ and 1 are neither prime nor composite.
7.2. Proposition. If $p$ is prime then for any a,

$$
\operatorname{gcd}(a, p)=1 \text { or } \operatorname{gcd}(a, p)=p .
$$

7.3. Lemma. If $p$ is prime and $p \mid a b$ then $p \mid a$ or $p \mid b$.
7.4. Corollary. If $p$ is prime and $p \mid q_{1} \cdots q_{k}$ then $p \mid q_{i}$ for some $i$. If all the $q_{i}$ are themselves prime then $p=q_{i}$ for some $i$.

The following theorem can now be proved by induction.

### 7.5. Theorem. The Fundamental Theorem of Arithmetic

Every positive integer has a unique factorization as a product of prime powers, where the primes are listed in order of increasing size.

This result, when prime power exponents are 1, was proved in books VII and IX of Euclid's Elements.
7.6. Remark. There are an infinitude of distinct primes. ${ }^{6}$

## 8. $I n t_{\boldsymbol{n}}, \bmod \boldsymbol{n}$ Arithmetic and Fermat's Little Theorem.

8.1. Definition. When $n>1$ we write $\boldsymbol{a} \equiv \boldsymbol{b} \bmod \boldsymbol{n}$ to mean $a=b+k n$ for some $k$. This is read aloud as " $a$ is congruent to $b \bmod n$." This is equivalent to the condition: $n \mid(a-b)$.
$n$ is called the modulus of the congruency.
An assertion that several numbers are congruent can be combined in a single line using only one $\bmod n$ indication.

$$
a \equiv b \equiv c \quad \bmod n
$$

may be preferred to

$$
a \equiv b \quad \bmod n \quad \text { and } \quad b \equiv c \quad \bmod n .
$$

In contrast, the expression

$$
a=b \equiv c \quad \bmod n
$$

means that $a$ is numerically equal to $b$ which is congruent to $c \bmod m$.
If $0 \leq r<n$ and $b \equiv r \bmod n$ the number $r$ is called the residue ${ }^{7}$ of $b$ $\bmod n$. Each number has one and only one residue for each modulus.

Sometimes it is convenient to refer to $c \bmod n$ as a single number, and when we do it is to this residue that we refer.

We say numbers are distinct mod $n$ if they have different residues mod $n$. We say numbers are equivalent $\bmod \boldsymbol{n}$ if they have the same residues ${ }^{8}$. We say a number satisfying some condition is unique $\bmod \mathbf{n}$ if all numbers satisfying that condition have the same residue.
8.2. Lemma. If $a \equiv b \bmod n$ and $c \equiv d \bmod n$

$$
\text { then } a+c \equiv b+d \bmod n \text { and } a c \equiv b d \bmod n .
$$

8.3. Lemma. Suppose $d=\operatorname{gcd}(c, n)$.
(i) $c a \equiv c b \bmod n$ exactly when $a \equiv b \bmod \frac{n}{d}$.
(ii) $c a \equiv b \bmod n$ exactly when $d \mid b$ and $\frac{c}{d} \cdot a \equiv \frac{b}{d} \bmod \frac{n}{d}$.

[^4]8.4. Remark. Lemma 8.2 implies that if $a \equiv b \bmod n$ then $a^{k} \equiv b^{k} \bmod n$ for any positive $k$ and, in fact, $f(a) \equiv f(b) \bmod n$ for any polynomial $f$ with integer coefficients.

Lemma 8.3 (i) tells us when/how we can "cancel" common factor $c$ in a statement asserting congruency involving $\bmod n$ arithmetic to obtain an equivalent congruency. If $\operatorname{gcd}(c, n)=1$, you can always do it.

Also if $m$ is prime, $a b \equiv 0 \bmod m \Rightarrow a \equiv 0 \bmod m$ or $b \equiv 0 \bmod m$. If $m$ is composite you cannot draw this conclusion.
8.5. Definition. We define, for integer $m$ and nonzero $k$ the set

$$
[\boldsymbol{m}]_{\boldsymbol{k}}=m+k \mathbb{Z}=\{m+k n \mid n \in \mathbb{Z}\}
$$

Each integer is in one and only one of the sets

$$
[0]_{k}, \quad[1]_{k}, \quad[2]_{k}, \quad \ldots, \quad[k-1]_{k}
$$

and each of these sets consists of numbers with shared residue mod $k$. The sets are called the congruency or residue (synonymous) classes mod $\boldsymbol{k}$. Sometimes they are also called $\boldsymbol{k}$-congruency classes. Whatever you call them, there are $k$ of these sets of integers and their collective, the set of these classes, will be denoted ${ }^{9} \boldsymbol{I n} \boldsymbol{t}_{\boldsymbol{k}}$.

The statement $[a]_{k}=[b]_{k}$ is identical in meaning to $a \equiv b \bmod k$.
8.6. Definition. Given residue classes $[m]_{k}$ and $[n]_{k}$ define

$$
[m]_{k}+[n]_{k}=[m+n]_{k} \quad \text { and also } \quad[m]_{k} \cdot[n]_{k}=[m \cdot n]_{k}
$$

8.7. Remark. In view of Theorem 8.2, these operations don't depend on the representatives $m$ and $n$ chosen for the congruency classes: any equivalent numbers could have been chosen and would yield the same sum or product congruency classes ${ }^{10}$. These operations are associative and mod $k$ multiplication distributes over $\bmod k$ addition. Addition is commutative and there is an additive identity so $I n t_{k}$ with these two operations is an example of what mathematicians call a ring.

Since multiplication is also commutative, this ring is called commutative. Since there is a multiplicative identity this ring is called unitary. An integer $m$ and the modulus $k$ are relatively prime if and only if $[m]_{k}$ has a multiplicative inverse. Whenever $[m]_{k} \cdot[j]_{k}=[1]_{k}$ we will call $m$ and $j \bmod$ $\mathbf{k}$ multiplicative inverses (to each other.) If $k$ is prime every nonzero

[^5]member of $I n t_{k}$ has a multiplicative inverse and the only integers without $\bmod k$ multiplicative inverses are the multiples of $k$.

A commutative unitary ring for which every nonzero element has a multiplicative inverse is called a field. The real numbers and the complex numbers and the rational numbers are fields, which do not concern us here. For prime $k$ we have created finite fields.
8.8. Lemma. If $p$ is prime then $[a]_{p}[b]_{p}=[0]_{p}$ if and only if at least one of $a$ or $b$ is a multiple of $p$ : that is, $[a]_{p}=[0]_{p}$ or $[b]_{p}=[0]_{p}$.

### 8.9. Theorem. Fermat's Little Theorem ${ }^{11}$ :

If $p$ is prime then $a^{p} \equiv a \bmod p$ for all $a$.
Proof. If $a$ is 1 (or any multiple of $p$ ) the result is obvious.
Suppose we know the result for integer $a$. Then

$$
(a+1)^{p}=a^{p}+\binom{p}{1} a^{p-1}+\cdots+\binom{p}{p-1} a+1
$$

by the binomial theorem. $p$ divides each middle term on the right, so

$$
(a+1)^{p} \equiv a^{p}+1 \equiv a+1 \quad \bmod p .
$$

The result now follows for all positive $a$ by induction on $a$. The case of non-positive $a$ is left to the reader.
8.10. Remark. By this result, for prime $p$ and any $a$ we have $[a]_{p}^{p}=[a]_{p}$. An alternative phrasing is that the polynomial equation $X^{p}-X=0$ has $p$ distinct solutions in $I n t_{p}$. Every member of $I n t_{p}$ satisfies that equation.

## 9. The Chinese Remainder Theorem.

9.1. Lemma. The equation $a x \equiv b \bmod n$ in variable $x$ has a solution exactly when $d \mid b$ where $d=\operatorname{gcd}(a, n)$. If it does have a solution then there are exactly $d$ distinct mod $n$ solutions. Each of these solutions is equivalent to the $x$ component of one of the solution pairs

$$
x=x_{0}+\frac{n}{d} t, \quad y=y_{0}+\frac{a}{d} t \quad \text { for } t=0, \ldots, d-1
$$

[^6]where $x_{0}, y_{0}$ is any particular solution pair to the equation
$$
\frac{a}{d} x-\frac{n}{d} y=\frac{b}{d}
$$

This particular solution can be found as suggested in Remark 6.3.
9.2. Corollary. If $\operatorname{gcd}(a, n)=1$ the congruency $a x \equiv 1 \bmod n$ has one solution mod $n$.
9.3. Remark. Corollary 9.2 tells us that if $a$ is relatively prime to $n$ then $[a]_{n}$ has a multiplicative inverse. But if $\operatorname{gcd}(a, n) \neq 1$ then $[a]_{n}$ does not have a multiplicative inverse.

### 9.4. Theorem. The Chinese Remainder Theorem:

Suppose $n_{1}, \ldots, n_{k}$ are pairwise relatively prime positive numbers and $a_{1}, \ldots, a_{k}$ are any nonzero numbers.

Then the system of equations

$$
x \equiv a_{i} \quad \bmod n_{i} \quad \text { for } i=1, \ldots, k
$$

has a unique solution $\bmod n$, where $n=n_{1} \cdots n_{k}$.
Proof. Let $N_{j}=n / n_{j}$ for each $j$. So $\operatorname{gcd}\left(N_{j}, n_{j}\right)=1$ for each $j$. So there is exactly one solution $\bmod n_{j}$ for equation $N_{j} x \equiv 1 \bmod n_{j}$ for each $j$. Let $x_{j}$ denote this solution. Then

$$
x=a_{1} N_{1} x_{1}+\cdots+a_{k} N_{k} x_{k}
$$

is a solution to the system of equations, as can be readily checked.
If $\bar{x}$ is another solution then $n_{j}$ divides $x-\bar{x}$ for each $j$ so $n$ divides $x-\bar{x}$ and we have uniqueness $\bmod n$.
9.5. Remark. This theorem was, apparently, first recorded some time around or after 400 AD in the work Sunzi Suanjing, a title roughly translated as "Classic Mathematical Facts by Master Sun." ${ }^{12}$

In the Chinese Remainder Theorem it is necessary that the $n_{i}$ be pairwise relatively prime. It is easy to produce systems with no solution otherwise.

[^7]
## 10. RelPrime ${ }_{n}$, Euler's Theorem and Gauss' Theorem.

10.1. Definition. For positive integer $n$ define RelPrime $_{\boldsymbol{n}}$ to consist of those nonzero residue classes, members of $I n t_{n}$, with residues which are relatively prime, or coprime, to $n$.

Every member of $\operatorname{RelPrime}_{n}$ has a multiplicative inverse, and the product of two members of RelPrime $n$ is also in RelPrime ${ }_{n}$. But the sum of two members of RelPrime $_{n}$ might not be in RelPrime $_{n}$, even when $n$ is prime.

For a given positive number $n, \boldsymbol{\phi}(\boldsymbol{n})$ is the number of positive numbers not exceeding $n$ which are coprime to $n$ : that is, $\phi(n)$ is the number of classes in RelPrime ${ }_{n}$. For historical reasons $\phi$ is referred to as the Euler ${ }^{13}$ totient function.

So $\phi(1)=1, \phi(2)=1, \phi(3)=2, \phi(4)=2, \phi(5)=4, \phi(6)=2$ and so on.
10.2. Remark. Obviously, if $p$ is prime $\phi(p)=p-1$. It is not hard to show that $\phi\left(p^{k}\right)=p^{k}-p^{k-1}$ for prime $p$ and $k>0$.

And if $m$ and $n$ exceed 1 and are relatively prime then $\phi(m n)=\phi(m) \phi(n)$.
To see this we explicitly count relatively prime integers as follows.
Arrange the numbers between 1 and $m n$ in an $n$-row-by- $m$-column rectangle. Each column consists of those numbers in the array with identical mod $m$ residue. So all but $\phi(m)$ of these columns may be immediately deleted from consideration, since the other columns have residues that share a nontrivial factor with $m$. Each remaining column has $\phi(n)$ numbers coprime, also, to $n$ and the result follows.
10.3. Theorem. For coprime $m$ and $n$ greater than 1 we have

$$
\phi(m n)=\phi(m) \phi(n)
$$

This implies that if $n=p_{1}^{k_{1}} p_{2}^{k_{2}} \cdots p_{j}^{n_{j}}$ is the factorization of integer $n$ (assumed to exceed 1) into the product of positive powers of distinct primes then:

### 10.4. Corollary.

$$
\phi(n)=\left(p_{1}^{k_{1}}-p_{1}^{k_{1}-1}\right)\left(p_{2}^{k_{2}}-p_{2}^{k_{2}-1}\right) \cdots\left(p_{j}^{k_{j}}-p_{j}^{k_{j}-1}\right)
$$

10.5. Remark. RelPrime ${ }_{n}$ with mod $n$ multiplication has useful and interesting properties. For instance if $[a]_{n}$ is in RelPrime ${ }_{n}$ the list

$$
[a]_{n},\left[a^{2}\right]_{n},\left[a^{3}\right]_{n}, \ldots,\left[a^{k}\right]_{n}, \ldots
$$

must begin to repeat at some smallest integer $k+1$ and since $[a]_{n}[b]_{n}=[a b]_{n}$ for any $b$ it follows that $\left[a^{k}\right]_{n}=[1]_{n}$ and so $[a]_{n}\left[a^{k-1}\right]_{n}=[1]_{n}$.

[^8]So the $\bmod n$ multiplicative inverse of $[a]_{n}$ is actually a power of $[a]_{n}$.
This smallest $k$ is called the order of the element $[a]_{n}$, denoted $\boldsymbol{o}_{\boldsymbol{n}}(\boldsymbol{a})$.
10.6. Theorem. The order of any element of RelPrime ${ }_{n}$ must divide $\phi(n)$.

Proof. To see this examine the two lists

$$
[j a]_{n},\left[j a^{2}\right]_{n}, \ldots,\left[j a^{k}\right]_{n} \quad[t a]_{n},\left[t a^{2}\right]_{n}, \ldots,\left[t a^{k}\right]_{n}
$$

for integers $j$ and $t$ relatively prime to $n$ and where $k$ is the order of $[a]_{n}$.
There are no repeated classes on either list, and if the first list shares even one member with the other list then they are the same list. And every member of RelPrime ${ }_{n}$ is on one list.

We have, immediately, the following result.

### 10.7. Corollary. Euler's Theorem

$$
\begin{aligned}
& \text { If } n \geq 2 \text { and } \operatorname{gcd}(a, n)=1 \text { then } \\
& \qquad a^{\phi(n)} \equiv 1 \bmod n .
\end{aligned}
$$

If $n$ is prime then $\phi(n)=n-1$ so $a^{n-1} \equiv 1 \bmod n$ for every integer coprime to $n$ : in particular, for all numbers between 1 and $n-1$. However if $n$ is composite and if $\phi(n)$ has a common factor $t$ with $n-1$ it is still possible that there could be an element $[a]_{n} \in$ RelPrime $_{n}$ of order $t$, and if there is we have $a^{n-1} \equiv 1 \bmod n$. So $a$ "behaves as if" $n$ is prime, since it satisfies one of the consequences it would have to satisfy if $n$ were prime.

This effect can be extreme if $n-1$ and $\phi(n)$ share many factors.
However if $a$ and $b$ are coprime to $n$ and if $a^{n-1} \equiv 1 \bmod n$ but $b^{n-1} \not \equiv 1$ $\bmod n$ then $(a \cdot b)^{n-1} \not \equiv 1 \bmod n$. So for every $a$ that behaves, by this test, as if $n$ is prime there will be a paired relatively prime integer $a \cdot b$ that fails to behave as if $n$ is prime. The conclusion below follows.
10.8. Corollary. If $n \geq 2$ and there exists a single $[b]_{n} \in$ RelPrime $_{n}$ for which $b^{n-1} \not \equiv 1 \bmod n$ then no more than half the elements of RelPrime ${ }_{n}$ have order that divides $n-1$.

Recall that for positive integers $m$ and $n, \operatorname{gcd}(m, n)=d$ exactly when $\operatorname{gcd}\left(\frac{m}{d}, \frac{n}{d}\right)=1$.

So if $A_{d}$ is the number of positive integers $m$ not exceeding $n$ for which $g c d(m, n)=d$ we have $A_{d}=\phi\left(\frac{n}{d}\right)$. That means

$$
n=\sum_{d \mid n} A_{d}=\sum_{d \mid n} \phi\left(\frac{n}{d}\right)=\sum_{c \mid n} \phi(c)
$$

where the sums are taken over positive divisors of $n$.
We have, therefore, proven one of many theorems due to Gauss ${ }^{14}$ :

[^9]
### 10.9. Theorem. Gauss' Theorem

For positive $n$ we have $\quad n=\sum_{c \mid n} \phi(c)$

## 11. Lagrange's Theorem and Primitive Roots.

11.1. Remark. If $n=a b$ for $a$ and $b$ exceeding 1 then the first degree polynomial function $f(x)=a \cdot x$ has at least two values that are multiples of $n$, namely 0 and $f(b)$.

This implies that the polynomial function defined on Int $_{n}$ by

$$
g(x)=[a]_{n} x
$$

has at least two roots: that is, there are at least two different residue classes in $I n t_{n}$ that satisfy the equation $g(x)=[0]_{n}$.

This is unlike the situation in $\mathbb{R}$ or $\mathbb{C}$ where the number of distinct roots of a polynomial cannot exceed the degree of the polynomial.

However if $n$ is prime we do recover this useful fact.
Note that if $n$ is prime then $I n t_{n}$ is a field so if $g$ is any nonzero polynomial we can multiply $g$ by the multiplicative inverse of its leading coefficient to produce a polynomial with exactly the same roots but which has leading coefficient $[1]_{n}$. Such polynomials are called monic. If we can prove the result for monic polynomials of a certain degree we will have it, thereby, for any polynomial of that degree.
11.2. Theorem. Lagrange's ${ }^{15}$ Theorem:

Suppose $p$ is prime and that polynomial $g(x)$ with coefficients in Int $_{p}$ has degree $d>0$. Then $g$ has at most d distinct roots in Int ${ }_{p}$.

Proof. The result is obviously true when polynomial $g$ has degree 1. Assume we have the result for all polynomials of degree less than some degree $d$ and that $g$ is monic with degree $d$ and has (at least) $d$ distinct roots $r_{1}, r_{2}, \ldots, r_{d}$ in $I n t_{p}$. Then the polynomial

$$
g(x)-\left(x-r_{1}\right)\left(x-r_{2}\right) \cdots\left(x-r_{d}\right)
$$

has degree lower than $d$ but has $d$ distinct roots. So it is the zero polynomial: that is, $g(x)=\left(x-r_{1}\right)\left(x-r_{2}\right) \cdots\left(x-r_{d}\right)$. But then if $c$ is any member of Int $p_{p}$ not among the $r_{i}$ all of the factors $c-r_{i}$ are nonzero and hence the product of all of them is nonzero. So $g$ cannot have any roots but those already enumerated: $g$ has exactly $d$ roots.

We conclude, invoking Finite Induction (II), that no polynomial of this type has more distinct roots than its degree.

[^10]11.3. Remark. If $f$ is a polynomial with coefficients in $\mathbb{Z}$ we can create a polynomial on $I n t_{n}$ via
$$
f(x)=a_{d} x^{d}+\cdots+a_{1} x+a_{0} \longleftrightarrow g(X)=\left[a_{d}\right]_{n} X^{d}+\cdots+\left[a_{1}\right]_{n} X+\left[a_{0}\right]_{n} .
$$

Any of the coefficients of $f$ which are multiples of $n$ are zero in $I n t_{n}$ so the degree of $g$ may be lower than the degree of $f$.
11.4. Corollary. Suppose $p$ is prime and that polynomial $f(x)$ with coefficients in $\mathbb{Z}$ has degree $d>0$. Unless all coefficients of $f$ are multiples of $p$ the value of $f$ is a multiple of $p$ for integers in at most $d$ distinct p-congruency classes.

Now on to a different matter.
It may happen that $o_{n}(a)=\phi(n)$ and if so every member of RelPrime ${ }_{n}$ is some power of $[a]_{n}$. In this case $a$ is called a primitive root $\bmod n$.
11.5. Theorem. If $p$ is prime there is a primitive root mod $p$. In fact, there are exactly $\phi(c)$ elements of order $c$ in Int $_{p}$ for every positive factor $c$ of $\phi(p)=p-1$.

Proof. We know by Gauss' Theorem that $p-1=\sum_{c \mid(p-1)} \phi(c)$.
Let $\Psi(c)$ be the number of members of $\mathrm{Int}_{p}$ of order $c$. We know $\Psi(c)$ is nonzero only for divisors of $p-1$, and every member of $\operatorname{Int} t_{p}$ is counted in one $\Psi(c)$.

So we have shown

$$
p-1=\sum_{c \mid(p-1)} \Psi(c)=\sum_{c \mid(p-1)} \phi(c) .
$$

We will show that corresponding terms in the sums are equal, which yields the statement of the theorem.

We do this by showing that $\Psi(c) \leq \phi(c)$ for every divisor $c$ of $p-1$.
It is obvious that $\Psi(c) \leq \phi(c)$ whenever $\Psi(c)=0$.
And if $\Psi(c) \neq 0$ then there is an element $[a]_{p}$ of order $c$.

$$
[a]_{p},[a]_{p}^{2}, \ldots,[a]_{p}^{c}=[1]_{p}
$$

provides a list of $c$ distinct solutions to the equation

$$
x^{c}=[1]_{p} .
$$

By Lagrange's Theorem there can be no more solutions so this list contains all members of $\mathrm{Int}_{p}$ whose order divides $c$. There are $\phi(c)$ of these powers of $[a]_{p}$ whose orders are not just divisors of $c$ but exactly $c$.

The desired conclusion follows.
11.6. Remark. Note that if $t$ is a primitive root $\bmod p$ then

$$
\left(t^{k}\right)^{\frac{p-1}{2}} \equiv 1 \text { or }-1 \quad \bmod p \quad \text { depending on if } k \text { is even or odd. }
$$

In particular, we have $t^{\frac{p-1}{2}} \equiv-1 \bmod p$.

## 12. Wilson's Theorem.

Suppose $p$ is prime.
Lagrange's Theorem implies that the only value(s) of $x$ for which

$$
x^{2} \equiv 1 \quad \bmod p
$$

are of the form $x=1+t \cdot p$ and $x=-1+t \cdot p$ for arbitrary integers $t$. (These classes of solutions are equivalent if $p=2$.)

So in Int $_{p}$ the only solutions to $X^{2}=[1]_{p}$ are

$$
X=[1]_{p} \quad \text { and } \quad X=[-1]_{p}=[p-1]_{p} .
$$

The other nonzero members of the field $I n t_{p}$ can be organized into distinct multiplicative-inverse pairs which means that

$$
(p-1)!=1(p-1) \cdot(1+\text { a multiple of } p) .
$$

So $(p-1)!\equiv-1 \bmod p$.
On the other hand if some number $n$ is exceeds 1 but is not prime then it can be factored into two smaller unequal positive integers or $n=k^{2}$ for some $k$ exceeding 1.

In the first case, if $n=a b$ for unequal $a$ and $b$ then both $a$ and $b$ are among the factors of $(n-1)$ ! so $(n-1)!\equiv 0 \bmod n$.

In the second case, such as $n=2^{2}=4$, we have $3!=6=2 \bmod 4$.
More generally, if $n=k^{2}$ for $k$ exceeding 2 then both $2 k$ and $k$ are among the list of factors of $(n-1)$ ! so, again, we have $(n-1)!\equiv 0 \bmod n$.

These facts, assembled, yield:

### 12.1. Theorem. Wilson's Theorem ${ }^{16}$ :

Integer $n \geq 2$ is prime if and only if $(n-1)!\equiv-1 \bmod n$.
12.2. Remark. An alternative proof can be created using Fermat's Little Theorem, Lagrange's Theorem and the polynomials

$$
f(x)=(x-1)(x-2) \cdots(x-(p-1)) \quad \text { and } \quad g(x)=x^{p-1}-1 .
$$

For prime $p$ exceeding 2 every nonzero member of $I n t_{p}$ is a root of both equations (after you replace all coefficients by their $p$-congruency classes) but the difference of these two polynomials is degree $p-2$ and therefore by

[^11]Lagrange's Theorem must be the zero polynomial. So the constant terms of these two polynomials coincide.

Though an interesting result for other reasons, direct use of Wilson's Theorem as a "prime detector" is computationally tractable only when it is unnecessary.

## 13. Polynomial Congruencies: Reduction to Simpler Form.

13.1. Remark. We produced, in Section 6.1, conditions for solution of Diophantine equations $a x+m y=c$ which, when transformed into modular arithmetic, corresponds to solutions to the first degree polynomial equation

$$
a x-c \equiv 0 \quad \bmod m .
$$

We found that there will be a solution exactly when $d=\operatorname{gcd}(a, m)$ divides $c$, and enumerated the $d$ distinct $\bmod m$ classes of solutions when a solution exists. If $x_{0}$ is any solution all others are of the form

$$
x_{i}=x_{0}+i \cdot \frac{m}{d}
$$

and these solutions are all in one of the (distinct) conjugacy classes

$$
\left[x_{0}+i \cdot \frac{m}{d}\right]_{m} \quad \text { for } i=0, \ldots, d-1
$$

These congruence classes correspond to the solutions in Int $_{m}$ of the first degree equation

$$
[a]_{m} \cdot X=[c]_{m}
$$

We also learned how to solve systems of first degree congruencies in Section 9 , the Chinese Remainder Theorem.

The next step is to solve quadratic and higher-degree congruencies and equations.

Suppose $f$ is any polynomial $f(x)=a_{n} x^{n}+\cdots+a_{1} x+a_{0}$. For integer $m \geq 2$ let $g(X)$ be the associated polynomial with coefficients in Int $_{m}$ given by $g(X)=\left[a_{n}\right]_{m} X^{n}+\cdots+\left[a_{1}\right]_{m} X+\left[a_{0}\right]_{m}$.

Of course the degree of $g$ might be less than $n$ since $\left[a_{n}\right]_{m}$ could be $[0]_{m}$.
So we seek solutions to the polynomial congruency or (completely equivalently) solutions to the polynomial equation in $I n t_{m}$ given by

$$
f(x) \equiv 0 \quad \bmod m \quad \Longleftrightarrow \quad g(X)=[0]_{m}
$$

This is a generalization of an important case, the general quadratic congruency

$$
\alpha x^{2}+\beta x+\gamma \equiv 0 \quad \bmod m \quad \Longleftrightarrow \quad[\alpha]_{m} X^{2}+[\beta]_{m} X+[\gamma]_{m}=[0]_{m}
$$

13.2. Remark. Suppose $m=s \cdot t$ where $g c d(s, t)=1$ and, somehow, we find integers $x_{1}$ and $x_{2}$ for which

$$
f\left(x_{1}\right) \equiv 0 \quad \bmod s \quad \text { and } \quad f\left(x_{2}\right) \equiv 0 \quad \bmod t .
$$

It is easy to show that any number $s$-equivalent to $x_{1}$ is also a solution to the first congruency, and any number $t$-equivalent to $x_{2}$ is a solution to the second congruency.

By the Chinese Remainder Theorem there is a solution $x_{3}$ to the simultaneous congruencies

$$
x \equiv x_{1} \quad \bmod s \quad \text { and } \quad x \equiv x_{2} \quad \bmod t
$$

and this solution $x_{3}$ is $\bmod s \cdot t=m$ unique.
So $x_{3}+j \cdot m$ for various integers $j$ are all, and the only, solutions to the simultaneous congruencies above.

And conversely any solution to $f(x) \equiv 0 \bmod m$ must satisfy the two simultaneous congruencies.

An easy extension of this argument implies that if $m=p_{1}^{k_{1}} \cdot p_{2}^{k_{2}} \cdots p_{j}^{k_{j}}$ is the prime factorization of $m$ into the product of distinct prime powers then any solution to

$$
f(x) \equiv 0 \quad \bmod m
$$

will also be a solution to each

$$
f(x) \equiv 0 \quad \bmod p_{i}^{k_{i}}
$$

And if we can find solutions to all of the the prime-power congruencies we can use the Chinese Remainder Theorem to find all solutions to $f(x) \equiv 0$ $\bmod m$ that correspond to (the prime power classes of) the selected solutions to the individual congruencies, and the Chinese Remainder Theorem guarantees that the solution is unique $\bmod m$.

Of course if there is more than one prime power class of solutions for a given prime power modulus, as there likely will be in many cases, we will have to look at all possible combinations of these classes for various prime powers upon which we will apply the Chinese Remainder Theorem. This may well be tedious, but it does have the virtue of specificity: we will know exactly with which combinations we must work to produce our complete list of solutions and each combination will produce a unique $\bmod m$ solution to the original equation.

And if any of the prime power congruencies fails to have a solution then the original congruency has no solution either.

We enshrine this key fact as a theorem.
13.3. Theorem. if $m=p_{1}^{k_{1}} \cdot p_{2}^{k_{2}} \cdots p_{j}^{k_{j}}$ is the prime factorization of $m$ into the product of distinct prime powers then every solution of
(i) $\quad f(x) \equiv 0 \quad \bmod m$
is a solution of
(ii) $\quad f(x) \equiv 0 \quad \bmod p_{i}^{k_{i}}$ for each $i$.

Therefore, a necessary condition for the existence of a solution to (i) is that each congruency (ii) have a solution.

Conversely, if each congruency (ii) has a solution then every combination of solutions selected (one for each prime power) can be used to construct a solution to (i) via the Chinese Remainder Theorem.

So it seems we can focus on prime power congruencies in our hunt for solutions to a polynomial congruency.
13.4. Remark. Given polynomial $f(x)=a_{n} x^{n}+\cdots a_{1} x+a_{0}$ and $m$ as above we select one of the constituent prime powers $p^{k}$ for $m$. There are various ways of simplifying the subsequent work.

We can reduce the coefficients $a_{i}$ to non-negative values all less than $\boldsymbol{p}^{\boldsymbol{k}}$. We want solutions to

$$
f(x)=a_{n} x^{n}+\cdots a_{1} x+a_{0} \equiv 0 \quad \bmod p^{k}
$$

and, for convenience, when we mention a specific solution $\boldsymbol{x}$ we can (if we wish) choose it so that it is non-negative and less than $\boldsymbol{p}^{\boldsymbol{k}}$.

If the $a_{i}$ share a common factor of $p^{j}$ so $a_{i}=p^{j} \cdot b_{i}$ for all $i$ and if $j \geq k$ this congruency is trivial: any integer is a solution. But otherwise, the congruency is equivalent to

$$
\frac{f(x)}{p^{j}}=b_{n} x^{n}+\cdots+b_{1} x+b_{0} \equiv 0 \quad \bmod p^{k-j}
$$

Therefore we may assume that there is no common $p$-power factor among the nonzero coefficients. And if the nonzero coefficients share any other factor then that factor has a $\bmod p^{k-j}$ multiplicative inverse so the congruency can be multiplied by that inverse without altering the solution set.

So we may, and do, make the simplifying assumption that if there is more than one non-zero coefficient the greatest common factor of these non-zero coefficients is 1 . We will also assume that we really are working with an $n$th degree polynomial here: that after reduction as above $a_{n} \neq 0$.

With these reductions we have arrived at a mod $p^{k}$ congruency and where

$$
f(x)=x^{n} \quad \text { or } \quad f(x)=p^{j} x^{n}+a_{n-1} x^{n-1}+\cdots+a_{0}
$$

The first case is trivial to solve and in the second case $0 \leq j<k$ and there is at least one non-zero term among the coefficients $a_{0}, \ldots, a_{n-1}$ and at least one of these does not have a factor of $p$.

## 14. Polynomial Congruencies: Solutions.

14.1. Remark. Recall that any polynomial can be expanded in a finite power series around any point, and in our case we can produce the representation

$$
\begin{aligned}
f(x+u) & =f(x)+\frac{f^{\prime}(x)}{1!} u+\left(\frac{f^{\prime \prime}(x)}{2} u^{2}+\cdots+\frac{f^{(n)}(x)}{n!} u^{n}\right) \\
& =f(x)+f^{\prime}(x) \cdot u+u^{2}\left(\frac{f^{\prime \prime}(x)}{2}+\cdots+\frac{f^{(n)}(x)}{n!} u^{n-2}\right) .
\end{aligned}
$$

The $k$ th derivatives $f^{(k)}(x)$ in this formula are terms of the form

$$
j \cdot(j-1) \cdots(j-k+1) \cdot a_{j} \cdot x^{j-k+1}
$$

and in view of Proposition 3.3 the ratios $\frac{j \cdot(j-1) \cdots(j-k+1)}{k!}$ are all integers. Therefore the parenthesized term in the last line of the power series representation of $f(x+u)$ is an integer, which is then multiplied by $u^{2}$.

We note for later that if $g$ is any polynomial and $p \mid g(x)$ for some $x$ then $p \mid g(x+v \cdot p)$ for any integer $v$. Therefore, for each $x$ all or none of the numbers $g(x+v \cdot p)$ are divisible by $p$.

Now suppose we have polynomial $f$ and $k \geq 2$. Examining the power series, if $0<v<p$ we have

$$
f\left(x+v \cdot p^{k-1}\right)=f(x)+f^{\prime}(x) \cdot v \cdot p^{k-1}+v^{2} \cdot p^{2 k-2} M(v)
$$

for an integer $M(v)$ depending on $x$ and $v$. Observe $2 k-2 \geq k$.
When finding solutions, it will be useful to note that if $f(x) \not \equiv 0 \bmod p^{k}$ but $p \mid f^{\prime}(x)$ then $f\left(x+v \cdot p^{k-1}\right) \not \equiv 0 \bmod p^{k}$ for any $v$ with $0 \leq v<p$. In other words if $x$ is not a $\bmod p^{k}$ solution and $p \mid f^{\prime}(x)$ then $x+v \cdot p^{k-1}$ cannot be $\bmod p^{k}$ solutions either for any of these $v$.

On the other hand if $p \nmid f^{\prime}(x)$ and assuming only that $x$ is a $\bmod p^{k-1}$ solution then there can be at most one mod $p^{k}$ solution among the numbers $x+v \cdot p^{k-1}$ for $v=0, \ldots, p-1$, as can be seen by examining a difference

$$
f\left(x+v \cdot p^{k-1}\right)-f\left(x+w \cdot p^{k-1}\right) .
$$

Now suppose we have solution $x_{0}$ to $f(x) \equiv 0 \bmod p^{k}$. So $f\left(x_{0}\right)=c \cdot p^{k}$ for some $c$ and we can choose $x_{0}$ itself so that $0 \leq x_{0}<p^{k}$, and we will make that $\bmod p^{k}$ equivalent choice.

Then for some $v$ with $0 \leq v<p$ we have $0 \leq x_{0}-v \cdot p^{k-1}<p^{k-1}$ and the number $x_{1}=x_{0}-v \cdot p^{k-1}$ is among the solutions to $f(x) \equiv 0 \bmod p^{k-1}$.

With this setup in hand, we have

$$
f\left(x_{0}\right)=f\left(x_{1}+v \cdot p^{k-1}\right)=f\left(x_{1}\right)+f^{\prime}\left(x_{1}\right) \cdot v \cdot p^{k-1}+p^{k} N
$$

for an integer $N$.

So if $p \mid f^{\prime}\left(x_{1}\right)$ the number $x_{1}$ must also be a solution to $f(x) \equiv 0 \bmod p^{k}$, and it follows that $x_{1}+v \cdot p^{k-1}$ is a solution not only for the specified value of $v$ but for any value of $v$, and these various possible $v$ values (include $v=0$ here) provide a total of $p$ distinct $\bmod p^{k}$ solutions to $f(x) \equiv 0 \bmod p^{k}$. They are, of course, different versions of the same $\bmod p^{k-1}$ solution but the $p^{k}$ modulus is able to distinguish them.

But if $p \nmid f^{\prime}\left(x_{1}\right)$ for some known $p^{k-1}$ solution $x_{1}$ (we don't know $x_{0}$ here - we want to find it) then at most one of the numbers on the list

$$
x_{1}, \quad x_{1}+p^{k-1}, \quad x_{1}+2 \cdot p^{k-1}, \quad, \ldots, \quad x_{1}+(p-1) \cdot p^{k-1}
$$

could be a $p^{k}$ solution. And the $v$ that corresponds to this potential solution, if it exists, must satisfy

$$
-f^{\prime}\left(x_{1}\right) \cdot v \equiv \frac{f\left(x_{1}\right)}{p^{k-1}} \quad \bmod p
$$

Under these conditions a unique $v$ with $0 \leq v<p-1$ that satisfies this congruency can be found. However it still must be verified for this calculated $v$ that the assumption that produced the congruency, namely that there is a value of $v$ for which $x_{1}+v \cdot p^{k-1}$ is a $\bmod p^{k}$ solution, is valid.
14.2. Remark. So we now have a method for finding all the solutions to

$$
f(x)=p^{j} x^{n}+a_{n-1} x^{n-1}+\cdots+a_{0} \equiv 0 \quad \bmod p^{k}
$$

by "working up" from lower p-power congruencies, assuming we can find all solutions to at least one of these.

If there are $s$ solutions to a lower mod $p^{i}$ congruency the method we outline below requires the evaluation of $f(x)$ and $f^{\prime}(x)$ on each solution. It could (but often won't) produce as many as $s \cdot p$ different $\bmod p^{i+1}$ solutions. The total number of necessary evaluations, moving up from the $\bmod p^{i}$ solutions to the $\bmod p^{k}$ solutions, will usually be far fewer than the worstcase of $p^{k}$ evaluations which would be required by selecting a representative from each of the classes in $I n t_{p^{k}}$ to find all $\bmod p^{k}$ solutions directly. As we have seen in Corollary 11.4 , the number of $\bmod p$ solutions (the typical starting case of $i=1$ ) cannot exceed $n$ unless $p$ divides all coefficients, a situation we forbid by preliminary reduction.

If $j \geq i>0$ the leading term is congruent to $0 \bmod p^{i}$, so the polynomial is actually of lower degree and under our conditions it is not the zero polynomial.

Generally, you can replace the polynomial congruence with one that is equivalent for that $p$-power. For instance if you are starting at level $i=1$ for $p=7$ an expression like $x^{8}+9 x+8 \equiv 0 \bmod 7$ could be replaced ${ }^{17}$ (Fermat's Little Theorem) by $x+9 x+1 \equiv 3 x+1 \equiv 0 \bmod 7$. Remember

[^12]though, as you move up the $p$-power congruencies, to replace reductions with those appropriate to that $p$-power from the original polynomial.

You may choose judiciously where to start this procedure though $i=j$ or $i=1$ may be good choices. Choosing the starting $i$ value to be larger is better if you can solve the resulting polynomial congruency.

If at any point in the following description we arrive at a congruency with no solution the the original congruency has no solution.

We proceed as follows. Suppose $k$ is at least 2 .
Find all solutions, if you can, for the congruency $f(x)=p^{j} x^{n}+a_{n-1} x^{n-1}+$ $\cdots+a_{0} \equiv 0 \bmod p^{i}$ for some $i$ with $0<i<k$.

Each $\bmod p^{i+1}$ solution, if any, will be $p^{i+1}$ equivalent to one of the numbers $x+v \cdot p^{i}$ for some $v=0, \ldots, p-1$ and some $\bmod p^{i}$ solution $x$.

Suppose $x$ is among these $\bmod p^{i}$ solutions, chosen so that $0 \leq x<p^{i}$.
If $p^{i+1} \mid f(x)$ check to see if $p \mid f^{\prime}(x)$ and if it does every number of the form $x+v \cdot p^{i}$ for $v=1, \ldots, p-1$ is also a $\bmod p^{i+1}$ solution. Include them all.

If $p^{i+1} \nmid f(x)$ but $p \mid f^{\prime}(x)$ the numbers $x+v \cdot p^{i}$ are not $\bmod p^{i+1}$ solutions. Rule them all out.

If $p^{i+1} \mid f(x)$ but $p \nmid f^{\prime}(x)$ the numbers $x+v \cdot p^{i}$ are $n o t \bmod p^{i+1}$ solutions unless $v=0$. Include $x$ in the list of $\bmod p^{i+1}$ solutions, rule the others out.

If $p^{i+1} \nmid f(x)$ and $p \nmid f^{\prime}(x)$ solve the congruency

$$
-f^{\prime}(x) \cdot v \equiv \frac{f(x)}{p^{i}} \quad \bmod p
$$

for $v$ with $0<v<p$. There will be just one value of $v$ under our conditions, and this calculated value will provide the only possible $\bmod p^{i+1}$ solution of the form $x+v \cdot p^{i}$, but it must be determined if $p^{i+1}$ actually does divide $f\left(x+v \cdot p^{i}\right)$. Include it or not depending on this.

Proceed through the list of mod $p^{i}$ solutions until each has been ruled out, included alone, used to find a single $v$ for which $x+v \cdot p^{i}$ is a mod $p^{i+1}$ solution or included and expanded into $p$ different numbers which are $p^{i}$ equivalent but which are distinct $\bmod p^{i+1}$ solutions.

Finally, proceed for $g$ steps, until $i+g=k$.
14.3. Remark. We give some examples of these methods in action, following the treatment in An Introduction to the Theory of Numbers [NZ62] by Niven and Zuckerman.

$$
\text { Solve } \quad x^{2}+x+7 \equiv 0 \quad \bmod 3^{3}
$$

$f(x)=x^{2}+x+7 \equiv 0 \bmod 3$ has the single solution 1 by inspection.
coefficients. However they produce mod 7 equivalent output when evaluated at any integer, which is what we care about here.
$f(1)=9$ and $f^{\prime}(x)=2 x+1$ and $3 \mid f^{\prime}(1)$ so 1 and 4 and 7 are all solutions to $x^{2}+x+7 \equiv 0 \bmod 9$.
$27 \nmid f(1)=9$ but $3 \mid f^{\prime}(1)$ so 1,10 and 19 are ruled out as $\bmod 27$ solutions.
$27 \mid f(4)=27$ and $3 \mid f^{\prime}(4)=9$ so 4,13 and 22 are all $\bmod 27$ solutions.
$27 \nmid f(7)=63$ and $3 \mid f^{\prime}(7)=15$ so 7,16 and 25 are ruled out as $\bmod 27$ solutions.

So the mod 27 classes of 4,13 and 22 are the solutions.
Solve $\quad x^{2}+x+7 \equiv 0 \quad \bmod 3^{4}$.
$81 \nmid f(4)=27$ and $3 \mid f^{\prime}(4)$ so none of 4,31 or 58 are $\bmod 81$ solutions.
$81 \nmid f(13)=189$ and $3 \mid f^{\prime}(13)$ so none of 13,40 or 67 are $\bmod 81$ solutions.
$81 \nmid f(22)=513$ and $3 \mid f^{\prime}(22)$ so none of 22,49 or 76 are $\bmod 81$ solutions.

## So there are no solutions to this congruency. ${ }^{18}$

$$
\text { Solve } \quad x^{2}+x+7 \equiv 0 \quad \bmod 7^{3} . \quad-56,55
$$

0 and 6 are the only mod 7 solutions, by inspection. $f^{\prime}(x)=2 x+1$.
$7 \nmid f^{\prime}(0)=1$ and $49 \nmid f(0)$. Solve $-1 \cdot v \equiv 1 \bmod 7$.
This gives $v=6$ so $0+6 * 7=42$ is a $\bmod 49$ solution.
$7 \nmid f^{\prime}(6)=18$ and $49 \mid f(6)=49$. So 6 is a $\bmod 49$ solution.
$7^{3}=343 \nmid f(42)=1813$ and $7 \nmid f^{\prime}(42)=85$.
For $x=42$ solve $1813 / 49=37 \equiv-85 \cdot v \bmod 7$.
This is equivalent to $2 \equiv 6 \cdot v \bmod 7$.
So $v=5$ and the $\bmod 7^{3}$ solution is $42+5 * 49=287$.
For $x=6$ solve $49 / 49=1 \equiv-13 \cdot v \bmod 7$.
This is equivalent to $1 \equiv 1 \cdot v \bmod 7$ which has solution $v=1$.
So $6+1 \cdot 49=55$ is a $\bmod 7^{3}$ solution.
So the $\bmod 7^{3}$ classes of 287 and 55 are the solutions.

Solve $\quad x^{5}+x^{4}+1 \equiv 0 \quad \bmod 3^{4}$.
1 is the only mod 3 solution, by inspection. $f^{\prime}(x)=5 x^{4}+4 x^{3}$.
$9 \nmid f(1)=3$ and $3 \mid f^{\prime}(1)=9$.
There are no mod $3^{2}$ solutions so there are no $\bmod 3^{4}$ solutions.

[^13]Solve $\quad 25 x^{3}+x+57 \equiv 0 \quad \bmod 5^{3}$.
Starting with mod 25 this is $x+7 \equiv 0 \bmod 25$ with solution $x=18$.
$f^{\prime}(x)=75 x^{2}+1$ and $5 \nmid f^{\prime}(18)=24301$.
$125 \mid f(18)=145875$ so the class of 18 is the only $\bmod 125$ solution.

Solve $\quad x^{2}+5 x+24 \equiv 0 \quad \bmod 36$.
We need to solve
$x^{2}+5 x+24 \equiv x^{2}+5 x+6 \equiv 0 \quad \bmod 9$ and $x^{2}+5 x+24 \equiv x^{2}+x \equiv 0 \quad \bmod 4$ and apply the Chinese Remainder Theorem to the solution combinations.

The first congruency has solutions 6,7 and the other has solutions 0,3 .
Note $9(1)+4(-2)=1$ so, for instance, $9(1)=1-4(-2)$.
The simultaneously congruent solutions will be mod 36 congruent to

$$
a_{1} \cdot 4 \cdot(-2)+a_{2} \cdot 9 \cdot(1)
$$

where $a_{1}$ is a solution to the $\bmod 9$ congruency and $a_{2}$ is a solution to the mod 4 congruency.

$$
\begin{aligned}
& 6 \cdot 4 \cdot(-2)+0 \cdot 9 \cdot(1)=-48 \equiv 24 \bmod 36 \\
& 6 \cdot 4 \cdot(-2)+3 \cdot 9 \cdot(1)=-21 \equiv 15 \bmod 36 \\
& 7 \cdot 4 \cdot(-2)+0 \cdot 9 \cdot(1)=-56 \equiv 16 \bmod 36 \\
& 7 \cdot 4 \cdot(-2)+3 \cdot 9 \cdot(1)=-29 \equiv 7 \bmod 36
\end{aligned}
$$

## So the mod 36 classes of $7,15,16$ and 24 are the solutions.

## 15. The Quadratic Formula.

To solve a general real quadratic equation one uses the quadratic formula, and the key step in that solution is the possibility of evaluating the square root in the formula.

A general quadratic mod $m$ congruency has the form

$$
\alpha x^{2}+\beta x+\gamma \equiv 0 \quad \bmod m
$$

But now we will make a specific restriction.
We will presume in this section that $m \nmid 4 \cdot \alpha$.
In view of the result of Theorem 13.3 the case of $m=p^{k}$ is of primary interest to us.

Multiplying the quadratic equation by $4 \alpha$ produces

$$
4 \alpha^{2} x^{2}+4 \alpha \beta x+4 \alpha \gamma \equiv 0 \quad \bmod m
$$

and adding $\beta^{2}-\beta^{2}$ we have

$$
4 \alpha^{2} x^{2}+4 \alpha \beta x+\beta^{2}+4 \alpha \gamma-\beta^{2} \equiv 0 \quad \bmod m
$$

and then

$$
(2 \alpha x+\beta)^{2} \equiv \beta^{2}-4 \alpha \gamma \quad \bmod m .
$$

So we can turn this equation into a linear equation and attempt to solve that provided we have a way to find all $\bmod m$ square roots of $A=\beta^{2}-4 \alpha \gamma$.

If we cannot find square roots of $A$ then, under our conditions, there will be no solution to the quadratic congruency.

Further, given success there, we are guaranteed to find solutions (using our ruminations about solutions of Diophantine equations) when and only when

$$
d=g c d(2 \alpha, m) \mid \sqrt{A}-\beta
$$

and for each $\sqrt{A}$ for which this condition holds there will be $d$ distinct mod $m$ solutions to the original quadratic.

If in fact $d=1$ we have at most one solution for each $\sqrt{A}$.
So it seems we must consider square roots for various moduli. Some data for specific small moduli might be a place to start, as found in the nearby table.

Of course 0 and 1 always are their own square roots, and the numbers which have square roots, listed in the columns in the table, are symmetric, due to the fact that if $a$ has a square root $x$ for modulus $m$ then $m-x$ is a second square root for that modulus.

We take up the issue of square roots (and their existence) in more detail in subsequent sections.

## 16. Square Roots for Prime Power Moduli.

16.1. Lemma. Suppose $p$ is an odd prime and $\operatorname{gcd}(a, p)=1$.

Suppose also $k>j \geq 1$ and we have found a solution for $x^{2} \equiv a \bmod p^{j}$.
This solution can be used to find an explicit solution to $x^{2} \equiv a \bmod p^{k}$.
Proof. Suppose $x^{2} \equiv a \bmod p^{j}$ for some $j \geq 1$. So $x^{2}=a+c \cdot p^{j}$ for some integer $c$. It may be that $p \mid c$ in which case $x^{2} \equiv a \bmod p^{j+1}$.

But if not, since $x$ can have no factor of $p$ there is a number $y$ so that $2 x y \equiv-c \bmod p$. Thus $2 x y=-c+z \cdot p$ for some integer $z$.

Now Let $w=x+y \cdot p^{j}$.

$$
\begin{aligned}
w^{2} & =\left(x+y \cdot p^{i}\right)^{2}=x^{2}+2 x y p^{j}+y^{2} p^{2 j} \\
& =a+c \cdot p^{j}+(-c+z \cdot p) p^{i}+y^{2} p^{2 j}=a+\left(x+y^{2} p^{j-1}\right) p^{i+1} .
\end{aligned}
$$

So $w^{2} \equiv a \bmod p^{j+1}$.

## modulus

|  | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ | $\mathbf{8}$ | $\mathbf{9}$ | $\mathbf{1 0}$ | $\mathbf{1 1}$ | $\mathbf{1 2}$ | $\mathbf{1 3}$ | $\mathbf{1 4}$ | $\mathbf{1 5}$ | $\mathbf{1 6}$ | $\mathbf{1 7}$ | $\mathbf{1 8}$ | $\mathbf{1 9}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}^{\mathbf{2}}=1$ | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| $\mathbf{2}^{\mathbf{2}}=4$ | 1 | 0 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| $\mathbf{3}^{\mathbf{2}}=9$ |  | 1 | 4 | 3 | 2 | 1 | 0 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 |
| $\mathbf{4}^{\mathbf{2}}=16$ |  |  | 1 | 4 | 2 | 0 | 7 | 6 | 5 | 4 | 3 | 2 | 1 | 0 | 16 | 16 | 16 |
| $\mathbf{5}^{\mathbf{2}}=25$ |  |  |  | 1 | 4 | 1 | 7 | 5 | 3 | 1 | 12 | 11 | 10 | 9 | 8 | 7 | 6 |
| $\mathbf{6}^{\mathbf{2}}=36$ |  |  |  |  | 1 | 4 | 0 | 6 | 3 | 0 | 10 | 8 | 6 | 4 | 2 | 0 | 17 |
| $\mathbf{7}^{\mathbf{2}}=49$ |  |  |  |  |  | 1 | 4 | 9 | 5 | 1 | 10 | 7 | 4 | 1 | 15 | 13 | 11 |
| $\mathbf{8}^{\mathbf{2}}=64$ |  |  |  |  |  |  | 1 | 4 | 9 | 4 | 12 | 8 | 4 | 0 | 13 | 10 | 7 |
| $\mathbf{9}^{\mathbf{2}}=81$ |  |  |  |  |  |  |  | 1 | 4 | 9 | 3 | 11 | 6 | 1 | 13 | 9 | 5 |
| $\mathbf{1 0}^{\mathbf{2}}=100$ |  |  |  |  |  |  |  | 1 | 4 | 9 | 2 | 10 | 4 | 15 | 10 | 5 |  |
| $\mathbf{1 1}^{\mathbf{2}}=121$ |  |  |  |  |  |  |  |  | 1 | 4 | 9 | 1 | 9 | 2 | 13 | 7 |  |
| $\mathbf{1 2}^{\mathbf{2}}=144$ |  |  |  |  |  |  |  |  |  | 1 | 4 | 9 | 0 | 8 | 0 | 11 |  |
| $\mathbf{1 3}^{\mathbf{2}}=169$ |  |  |  |  |  |  |  |  |  |  | 1 | 4 | 9 | 16 | 7 | 17 |  |
| $\mathbf{1 4}^{\mathbf{2}}=196$ |  |  |  |  |  |  |  |  |  |  |  | 1 | 4 | 9 | 16 | 6 |  |
| $\mathbf{1 5}^{\mathbf{2}}=225$ |  |  |  |  |  |  |  |  |  |  |  |  | 1 | 4 | 9 | 16 |  |
| $\mathbf{1 6}^{\mathbf{2}}=256$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 | 4 | 9 |
| $\mathbf{1 7}^{\mathbf{2}}=289$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 | 4 |
| $\mathbf{1 8}^{\mathbf{2}}=324$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 |

So either $x$ is already a $\bmod p^{j+1}$ square root of $a$, or it can be used to produce one.

We continue this process up to exponent $k$ and the result is proved.
16.2. Remark. Square roots for both members of $I n t_{2}$ exist, an uninteresting case.

In $I n t_{4}$ we have

$$
[1]_{4}^{2}=[1]_{4} \text { and }[3]_{4}^{2}=[9]_{4}=[1]_{4}
$$

So if $a$ is coprime to 4 then $a$ has a mod 4 square root exactly when $a \equiv 1$ $\bmod 4$. Both members of RelPrime ${ }_{4}$ are square roots of $[1]_{4}$. Of course $[0]_{4}^{2}=[2]_{4}^{2}=[0]_{4}$ so $[0]_{4}$ also has two square roots.

$$
0^{2}=0 \quad \text { and } \quad 1^{2}=1 \quad \text { and } \quad 2^{2}=4 \quad \text { and } \quad 3^{2}=9=1+8
$$

and $\quad 4^{2}=16=2 * 8 \quad$ and $\quad 5^{2}=25=1+3 \cdot 8$
and $\quad 6^{2}=36=4+4 * 8 \quad$ and $\quad 7^{2}=49=1+6 \cdot 8$.
So in RelPrime Renly $_{8}[1]_{8}$ has a square root, and all four elements of RelPrime 8 are square roots of $[1]_{8}$. The classes $[3]_{8},[5]_{8}$ and $[7]_{8}$ have no square roots.

Among the rest of the members of Int $_{8}$ only the classes $[0]_{8}$ (roots $[0]_{8}$ and $\left.[4]_{8}\right)$ and $[4]_{8}\left(\right.$ roots $[2]_{8}$ and $\left.[6]_{8}\right)$ have square roots. $[2]_{8}$ and $[6]_{8}$ have no square roots.
16.3. Lemma. Suppose $a$ is odd.
(i) $x^{2} \equiv a \bmod 2$ always has a solution: every member of $[1]_{2}$.
(ii) $x^{2} \equiv a \bmod 4$ has a solution only when $a \equiv 1 \bmod 4$.
(iii) $x^{2} \equiv a \bmod 2^{k}$ for $k \geq 3$ has a solution exactly when $a \equiv 1 \bmod 8$.

In the proof of (iii) we show how to calculate, from a solution to $x^{2} \equiv a$ $\bmod 2^{3}$, an explicit solution to $x^{2} \equiv a \bmod 2^{k}$ when $k>3$.

Proof. We demonstrated the lemma to be true (see the table) up to modulus $2^{4}=16$ by examining all cases.

Suppose $x^{2} \equiv a \bmod 2^{k}$ for some $k \geq 3$. Since $a$ is odd so too is $x$, which must therefore be of the form $x=1+2 \cdot r$. But then

$$
x^{2}=1+4 r+4 r^{2}=1+4 r(1+r)
$$

and whether $r$ is even or odd the term $4 r(1+r)$ is divisible by 8 . So it is necessary that $a \equiv 1 \bmod 8$ for a square root to exist.

Suppose we know that for a specific $k$, at least three, that $x^{2} \equiv a \bmod 2^{k}$ whenever $a \equiv 1 \bmod 8$ and suppose $a$ is such a number with $\bmod 2^{k}$ square root $x$.

Thus $x^{2}=a+r \cdot 2^{k}$ for some integer $k$.
If $r$ is even, then $x$ is also a $\bmod 2^{k+1}$ square root of $a$.
But $r$ may be odd. In that case, since both $x$ and $a$ are odd there exists $y$ for which $x y=-r+2 j$. So now

$$
\begin{aligned}
\left(x+y \cdot 2^{k-1}\right)^{2} & =x^{2}+2 \cdot x \cdot y \cdot 2^{k-1}+y^{2} 2^{2(k-1)} \\
& =a+r \cdot 2^{k}+2 \cdot(-r+2 j) \cdot 2^{k-1}+y^{2} 2^{2(k-1)} \\
& =a+j \cdot 2^{k+1}+y^{2} 2^{2(k-1)} \equiv a \quad \bmod 2^{k+1}
\end{aligned}
$$

So either the $\bmod 2^{k}$ square root $x$ is already a $\bmod 2^{k+1}$ square root of $a$, or it can be used to produce one which, it should be noted, is also a different $\bmod 2^{k}$ square root of $a$.

The result now follows by induction on the exponent on the modulus.
16.4. Theorem. Suppose $a=2^{j} \cdot \alpha$ where $\alpha$ is odd and $j \geq 0$.
(i) $x^{2} \equiv a \bmod 2$ always has a solution.

If $j>0$ the solution set is $[0]_{2}$. If $j=0$ the solution set is $[1]_{2}$.
(ii) $x^{2} \equiv a \bmod 4$ has a solution exactly when ( $j$ is at least 2 ) or $(j=0$ and $\alpha \equiv 1 \bmod 4)$.
(iii) $x^{2} \equiv a \bmod 2^{k}$ for $k \geq 3$ has a solution exactly when $(j \geq k)$ or ( $j$ is even and $x^{2} \equiv \alpha \bmod 2^{k-j}$ has a solution.),
17. Euler's Criterion and the Legendre Symbol.
17.1. Remark. We identify below a condition, found by Euler, under which

$$
x^{2} \equiv a \quad \bmod p \quad \Longleftrightarrow \quad Y^{2}=[a]_{p} \quad\left(Y=[x]_{p}\right)
$$

will have solutions for prime $p$.
The $a$ for which these solutions exist are called quadratic (or p-quadratic) residues. The other integers are called quadratic non-residues.

The proof of the following result is trivial, but the result itself is important.
17.2. Lemma. Suppose $p$ is prime and $a, b$ are p-quadratic residues.

So are $a b$ and $a^{-1}$ and $a+n p$ for any $n$.
17.3. Remark. When $p=2$ the situation is trivial, so we concentrate on odd primes.

The Legendre ${ }^{19}$ Symbol is traditionally employed in this discussion, and we define it for odd prime $p$ (pronounced " $a$ on $p$ ") by

$$
\left(\frac{\boldsymbol{a}}{\boldsymbol{p}}\right)= \begin{cases}1 & \text { if } a \text { is a } p \text {-quadratic residue and } a \not \equiv 0 \bmod p \\ 0 & \text { if } a \equiv 0 \bmod p \\ -1 & \text { if } a \text { is a } p \text {-quadratic non-residue }\end{cases}
$$

$a=0$ is certainly a $p$-quadratic residue and also $j^{2}$ for $j=1,2, \ldots, \frac{p-1}{2}$.
If $1 \leq j<k \leq \frac{p-1}{2}$ then $k^{2}-j^{2}=(k-j)(j+k)$ and both factors are less than prime $p$ so these two squares are not congruent quadratic residues.

Therefore there are at least $\frac{p-1}{2}$ distinct nonzero classes of quadratic residues in $I n t_{p}$.

By Fermat's Little Theorem if $[a]_{p} \neq[0]_{p}$ we have

$$
\left(a^{\frac{p-1}{2}}-1\right)\left(a^{\frac{p-1}{2}}+1\right) \equiv 0 \quad \bmod p
$$

But if $a$ is congruent to $x^{2}$ then the left factor is congruent to 0 and the right factor is not. This means that there can be at most $\frac{p-1}{2}$ distinct nonzero classes of quadratic residues in $I n t_{p}$, and therefore exactly that many.

All the remaining nonzero classes, the classes of quadratic non-residues, correspond to integers that make the second factor a multiple of $p$. For these classes $a^{\frac{p-1}{2}} \equiv-1 \bmod p$.

In any event, we have the following criterion for quadratic residue status.

[^14]
### 17.4. Theorem. Euler's Criterion:

$$
\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \quad \bmod p \quad(\text { for odd prime } p) .
$$

$a$ is a p-quadratic residue depending on whether $a^{\frac{p-1}{2}} \equiv 1 \bmod p$, in which case it is, or $a^{\frac{p-1}{2}} \equiv-1 \bmod p$, in which case it's not, or $a^{\frac{p-1}{2}} \equiv 0$ $\bmod p$, in which case it is, trivially. The three cases exhaust all possibilities.
17.5. Corollary. Suppose $p$ is an odd prime and $b, c$ are integers.

$$
\text { Then } \quad\left(\frac{b \cdot c}{p}\right)=\left(\frac{b}{p}\right)\left(\frac{c}{p}\right) \quad \text { and } \quad\left(\frac{b+n p}{p}\right)=\left(\frac{b}{p}\right) \text { for any } n \text {. }
$$

17.6. Corollary. Suppose $p$ is an odd prime and $a$ is any integer. Then

$$
a=(-1)^{i} \cdot 2^{j} \cdot p_{1} \ldots p_{k} \cdot p^{m} \cdot N^{2}
$$

for primes $p_{1}, \ldots, p_{k}$ not $p$ or 2 and $i, j$ are 0 or 1 and $p \nmid N$.

$$
\text { Then } \quad\left(\frac{a}{p}\right)=\left(\frac{(-1)^{i}}{p}\right)\left(\frac{2^{j}}{p}\right)\left(\frac{p^{m}}{p}\right)\left(\frac{p_{1}}{p}\right) \cdots\left(\frac{p_{k}}{p}\right) .
$$

17.7. Remark. In Corollary 17.6 the case where $m \neq 0$ is trivial, so we can reduce the problem of calculating a general $\left(\frac{a}{p}\right)$ to that of finding $\left(\frac{-1}{p}\right),\left(\frac{2}{p}\right)$ and $\left(\frac{q}{p}\right)$ for odd primes $q$ less than $p$.
17.8. Remark. We expand a little on the material of Remark 17.3 .

If we have a primitive root $\bmod p$ we can be a bit more explicit about the $p$-quadratic residues. Suppose $t$ is a primitive root $\bmod p$ for odd prime $p$.

The residues of the list $t, t^{2}, \ldots, t^{p-1}$ are exactly the numbers $1,2, \ldots, p-1$ in some order and half of the members of the first list, the $\frac{p-1}{2}$ numbers with even exponents, are $p$-quadratic residues. The odd exponent terms are the quadratic non-residues.

Generally if $x^{2} \equiv y^{2} \bmod p$ and $x \neq 0 \bmod p$ then $x \equiv \pm y \bmod p$.
So there are three possibilities for solutions to $x^{2} \equiv a \bmod p$.
First $x \equiv 0 \bmod p$. Second, $p=2$ and there is just one solution, $1 \equiv-1$ $\bmod 2$. Third, there are exactly two congruence classes of solutions and if $r$ is a residue of one solution $p-r$ is the residue of the second. On of these residues is no more than $\frac{p-1}{2}$ while the other is, at least, $\frac{p+1}{2}$.

As an example, assuming $p$ to be odd, since $\left(t^{\frac{p-1}{2}}\right)^{2} \equiv 1 \bmod p$ and $t^{\frac{p-1}{2}} \not \equiv 1 \bmod p$ it must be that $t^{\frac{p-1}{2}} \equiv-1 \bmod p$.

Any $a \equiv t^{k} \bmod p$ for some k and then $a^{\frac{p-1}{2}} \equiv t^{k \frac{p-1}{2}}$. If $k$ is even $a$ is a p-quadratic residue and $a^{\frac{p-1}{2}} \equiv 1 \bmod p$. But if $k$ is odd $a$ is a p-quadratic non-residue and $a^{\frac{p-1}{2}} \equiv-1 \bmod p$.

This is an alternative argument for Euler's Criterion.

## 18. A Lemma of Gauss.

Again we presume $p$ is an odd prime and this time assume $a$ to be relatively prime to $p$.

Examine the list of $\frac{p-1}{2}$ numbers

$$
a, 2 \cdot a, 3 \cdot a, \ldots, \frac{p-1}{2} \cdot a
$$

These numbers have nonzero $\bmod p$ residues and also must have distinct residues.

We index and list these residues in increasing order as

$$
0<r_{1}<r_{2}<\cdots r_{k} \leq \frac{p-1}{2}<\frac{p+1}{2} \leq r_{k+1}<\cdots<r_{k+n}<p
$$

where, as indicated, $k$ has been chosen to be the index of the greatest residue not exceeding $\frac{p-1}{2}$.

The integer $n$ is the number of these residues which are $\frac{p+1}{2}$ or larger.
$k+n=\frac{p-1}{2}$ so, as far as we know, we could have $n=0$.
Consider the new list of $\frac{p-1}{2}$ numbers

$$
r_{1}, r_{2}, \ldots, r_{k}, p-r_{k+1}, \ldots, p-r_{k+n}
$$

which are all bigger than 0 and no larger than $\frac{p-1}{2}$.
We know there there are no repeats among the first $k$, nor are there any duplicate numbers among the last $n$.

And if one of the first group is duplicated among the last group, say $r_{j}=p-r_{t}$, then for certain positive integers $i_{1}$ and $i_{2}$ not exceeding $\frac{p-1}{2}$, and for two other integers $i_{3}$ and $i_{4}$ we would have

$$
r_{j}=p-r_{t} \quad \longleftrightarrow \quad i_{1} a+i_{3} p=p-\left(i_{2} a+i_{4} p\right)
$$

and therefore $\left(i_{1}+i_{2}\right) a=p\left(1-i_{4}-i_{3}\right)$.
This is impossible, in view of the fact that $0<i_{1}+i_{2}<p$. So there are no duplicates among these $\frac{p-1}{2}$ positive numbers, none of which exceeds $\frac{p-1}{2}$.

Therefore the numbers $r_{1}, r_{2}, \ldots, r_{k}, p-r_{k+1}, \ldots, p-r_{k+n}$ are nothing more than a rearrangement of the numbers from 1 to $\frac{p-1}{2}$.

We now have

$$
\begin{aligned}
a^{\frac{p-1}{2}} 1 \cdot 2 \cdot 3 \cdots \frac{p-1}{2} & =1 \cdot a \cdot 2 \cdot a \cdot 3 \cdot a \cdots \frac{p-1}{2} \cdot a \\
& \equiv r_{1} \cdot r_{2} \cdots r_{k+n} \bmod p \\
& \equiv(-1)^{n} r_{1} \cdots r_{k} \cdot\left(p-r_{k+1}\right) \cdots\left(p-r_{k+n}\right) \bmod p \\
& \equiv(-1)^{n} 1 \cdot 2 \cdot 3 \cdots \frac{p-1}{2} \bmod p
\end{aligned}
$$

and we conclude that $a^{\frac{p-1}{2}} \equiv(-1)^{n} \bmod p$.
Appealing to Euler's Criterion we have proven a result that would likely be called a theorem if attributed to anyone but Gauss:

### 18.1. Theorem. Gauss' Lemma:

If $p$ is an odd prime and $a$ is a positive integer with $\operatorname{gcd}(a, p)=1$ and $n$ is the number of residues of numbers on the list

$$
a, 2 \cdot a, 3 \cdot a, \ldots, \frac{p-1}{2} \cdot a
$$

which exceed $\frac{p-1}{2}$ then, in terms of the Legendre symbol,

$$
\left(\frac{a}{p}\right)=(-1)^{n}
$$

In other words, a is a p-quadratic residue or not depending on whether $n$ is even or odd.

Now we prove a technical lemma based on Gauss' Lemma which we will use in our proof of the Quadratic Reciprocity Law, Theorem 20.1

For integers $r, s$ and $t$ with $t>0$ we say $r \leq \frac{s}{t}$ exactly when $r \cdot t \leq s$.
For every fraction $\frac{s}{t}$ there is ${ }^{20}$ a largest integer $r$ for which $r \leq \frac{s}{t}$.
We denote it by the symbols $\left[\frac{s}{t}\right]$, the "greatest integer in $\frac{s}{t}$."
18.2. Lemma. If $n$ is the number defined in Gauss' Lemma above for odd integer $a$ and odd prime $p$ then

$$
n \equiv \sum_{j=1}^{\frac{p-1}{2}}\left[\frac{j a}{p}\right] \quad \bmod 2
$$

and therefore by Gauss' Lemma

$$
\left(\frac{a}{p}\right)=(-1)^{\sum_{j=1}^{\frac{p-1}{2}\left[\frac{j a}{p}\right]} .}
$$

[^15]Proof. Recall the list

$$
a, 2 \cdot a, 3 \cdot a, \ldots, \frac{p-1}{2} \cdot a .
$$

and their properly ordered $\bmod p$ residues

$$
0<r_{1}<r_{2}<\cdots r_{k} \leq \frac{p-1}{2}<\frac{p+1}{2} \leq r_{k+1}<\cdots<r_{k+n}<p
$$

For each $j$ between 1 and $\frac{p-1}{2}$ we have

$$
j \cdot a=\left[\frac{j a}{p}\right] \cdot p+r_{i_{j}}
$$

where $r_{i_{j}}$ is counted among the $n$ "big residues" if it exceeds $\frac{p-1}{2}$.
Adding together all the $j \cdot a$ we have

$$
\frac{p^{2}-1}{8} \cdot a=\sum_{j=1}^{\frac{p-1}{2}} j \cdot a=p \cdot \sum_{j=1}^{\frac{p-1}{2}}\left[\frac{j a}{p}\right]+\sum_{i=1}^{k} r_{i}+\sum_{i=k+1}^{k+n} r_{i} .
$$

In Gauss' Lemma we showed that the list

$$
r_{1}, r_{2}, \ldots, r_{k}, p-r_{k+1}, \ldots, p-r_{k+n}
$$

is a reordering of the first $\frac{p-1}{2}$ positive integers so

$$
\frac{p^{2}-1}{8}=\left(\sum_{i=1}^{k} r_{i}\right)+n p-\left(\sum_{i=k+1}^{k+n} r_{i}\right)
$$

Subtracting corresponding left and right sides of these equalities produces

$$
\frac{p^{2}-1}{8} \cdot(a-1)=p \cdot\left(-n+\sum_{j=1}^{\frac{p-1}{2}}\left[\frac{j a}{p}\right]\right)+2 \cdot \sum_{i=k+1}^{k+n} r_{i} .
$$

Since $p$ and $a$ are odd they are both congruent to $1 \bmod 2$, so the line above becomes

$$
0 \equiv-n+\sum_{j=1}^{\frac{p-1}{2}}\left[\frac{j a}{p}\right] \quad \bmod 2
$$

which is the result we were seeking.
19. $\left(\frac{-1}{p}\right)$ and $\left(\frac{2}{p}\right)$.

When is -1 is a $p$-quadratic residue for odd prime $p$ ?
This will happen, according to Euler's Criterion, when and only when $\frac{p-1}{2}$ is even, in which case $p \equiv 1 \bmod 4$. Assuming $p$ to be an odd prime the only other possible case is $p \equiv 3 \equiv-1 \bmod 4$ and in that case -1 is a $p$-quadratic non-residue. We have proved:
19.1. Lemma. An odd prime $p$ must satisfy $p \equiv 1$ or $3 \bmod 4$.

$$
\left(\frac{-1}{p}\right)=1 \text { if } p \equiv 1 \quad \bmod 4 \quad \text { and } \quad\left(\frac{-1}{p}\right)=-1 \text { if } p \equiv 3 \quad \bmod 4 .
$$

In terms of a direct formula, Euler's Criterion gives $\left(\frac{-1}{p}\right)=(-1)^{\frac{p-1}{2}}$.
The next lemma involves more cases than we considered in Lemma 19.1.
19.2. Lemma. An odd prime $p$ must satisfy $p \equiv 1$ or 3 or 5 or $7 \bmod 8$.
$\left(\frac{2}{p}\right)=1$ if $p \equiv 1$ or $7 \bmod 8 \quad$ and $\quad\left(\frac{2}{p}\right)=-1$ if $p \equiv 3$ or $5 \bmod 8$.
In terms of a direct formula, $\left(\frac{2}{p}\right)=(-1)^{\frac{p^{2}-1}{8}}$.
Proof. For odd prime $p$ examine the $\bmod p$ residues of the numbers

$$
2,2 \cdot 2,3 \cdot 2, \ldots, \frac{p-1}{2} \cdot 2
$$

None of these numbers equal or exceed $p$ so they are their own list of residues, in order. A certain number $n$ of these values will exceed $\frac{p-1}{2}$ and according to Gauss' Lemma $\left(\frac{2}{p}\right)=(-1)^{n}$. So we need to count how many of these large residues there are for the four possible $\bmod 8$ residues of our prime $p$, determining if $n$ is even or odd in each case.

If $p=1+8 k$ then $\frac{p-1}{2}=4 k$. The first $2 k$ entries on that list (the numbers up to $4 k$ after multiplication by 2 ) do not exceed $4 k$. So $\frac{p-1}{2}-2 k=$ $\frac{1+8 k-1-4 k}{2}=2 k$ are bigger.

If $p=3+8 k$ then $\frac{p-1}{2}=1+4 k$. The first $2 k$ entries on that list (the numbers up to $4 k$ after multiplication by 2 ) do not exceed $1+4 k$. So $\frac{p-1}{2}-2 k=\frac{3+8 k-1-4 k}{2}=2 k+1$ are bigger.

If $p=5+8 k$ then $\frac{p-1}{2}=2+4 k$. The first $2 k+1$ entries on that list (the numbers up to $4 k+2$ after multiplication by 2 ) do not exceed $2+4 k$. So $\frac{p-1}{2}-(2 k+1)=\frac{5+8 k-1-4 k-2}{2}=1+2 k$ are bigger.

If $p=7+8 k$ then $\frac{p-1}{2}=3+4 k$. The first $2 k+1$ entries on that list (the numbers up to $2+4 k$ after multiplication by 2 ) do not exceed $3+4 k$. So $\frac{p-1}{2}-(2 k+1)=\frac{7+8 k-1-4 k-2}{2}=2+2 k$ are bigger.

This proves the main result. The direct formula is an easy calculation applied to $p=j+8 k$.

## 20. The Law of Quadratic Reciprocity.

There are reportedly over a hundred distinguishable proofs of the following theorem, six by Gauss alone who created the first complete proof at age 19. The proof given here, appealing to the technical Lemma 18.2 , was adapted from one of these, and is due to Ferdinand Eisenstein ${ }^{21}$.

### 20.1. Theorem. The Law of Quadratic Reciprocity

For distinct odd primes $p$ and $q$ we have

$$
\left(\frac{p}{q}\right) \cdot\left(\frac{q}{p}\right)=(-1)^{\frac{p-1}{2} \cdot \frac{q-1}{2}}
$$

That exponent is even unless both $p$ and $q$ are congruent to $3 \bmod 4$ and in that event $\left(\frac{p}{q}\right)=-\left(\frac{q}{p}\right)$.

If either is congruent to $1 \bmod 4$ we have $\left(\frac{p}{q}\right)=\left(\frac{q}{p}\right)$.
Proof. Let $R$ denote the set of points in the plane consisting of all $(m, n)$ for which $1 \leq m \leq \frac{p-1}{2}$ and $1 \leq n \leq \frac{q-1}{2}$.
$R$ consists of $\frac{p-1}{2} \cdot \frac{q-1}{2}$ points in a rectangular array in the plane.
None of these points can be on the line $p y=q x$ since that would require $p \mid x$ and none of our points have first coordinate that large.

The points in $R$ above $(i, 0)$ for an allowable $i$ are

$$
(i, 1), \ldots,\left(i,\left[\frac{i q}{p}\right]\right),\left(i,\left[\frac{i q}{p}\right]+1\right), \ldots,\left(i, \frac{q-1}{2}\right)
$$

and the first $\left[\frac{i q}{p}\right]$ of these are below the line $p y=q x$.
Therefore the number of points in $R$ which are below the line is $\sum_{i=1}^{\frac{p-1}{2}}\left[\frac{i q}{p}\right]$.
Similarly, at height $i$ the points in $R$ are

$$
(1, i), \ldots,\left(\left[\frac{i p}{q}\right], i\right),\left(\left[\frac{i p}{q}\right]+1, i\right), \ldots,\left(\frac{p-1}{2}, i\right)
$$

and the first $\left[\frac{i p}{q}\right]$ of these are to the left of the line $p y=q x$ at height $i$.
So there are $\sum_{i=1}^{\frac{q-1}{2}}\left[\frac{i p}{q}\right]$ points in $R$ above and to the left of this line.

[^16]We have then

$$
\frac{p-1}{2} \cdot \frac{q-1}{2}=\sum_{i=1}^{\frac{q-1}{2}}\left[\frac{i p}{q}\right]+\sum_{i=1}^{\frac{p-1}{2}}\left[\frac{i q}{p}\right]
$$

The result now follows immediately from Lemma 18.2 .
20.2. Remark. Let's use the facts we have assembled to calculate whether -59850 is a 29 -quadratic residue.

Factoring, we find that $-59850=(-1)(2)(7)(19)\left(15^{2}\right)$ and $29 \equiv 1 \bmod 4$ and $29 \equiv 5 \bmod 8$ so

$$
\left(\frac{-59850}{29}\right)=\left(\frac{-1}{29}\right)\left(\frac{2}{29}\right)\left(\frac{7}{29}\right)\left(\frac{19}{29}\right)=1 \cdot(-1) \cdot\left(\frac{7}{29}\right)\left(\frac{19}{29}\right)
$$

$\frac{7-1}{2} \cdot \frac{29-1}{2}=42$, an even number, so

$$
\left(\frac{7}{29}\right)=\left(\frac{29}{7}\right)=\left(\frac{1}{7}\right)=1
$$

$\frac{19-1}{2} \cdot \frac{29-1}{2}=126$, also even, so

$$
\left(\frac{19}{29}\right)=\left(\frac{29}{19}\right)=\left(\frac{10}{19}\right)=\left(\frac{2}{19}\right) \cdot\left(\frac{5}{19}\right)=(-1) \cdot\left(\frac{5}{19}\right)
$$

since $19 \equiv 3 \bmod 8$. And $\frac{5-1}{2} \cdot \frac{19-1}{2}=18$ so

$$
\left(\frac{5}{19}\right)=\left(\frac{19}{5}\right)=\left(\frac{4}{5}\right)=\left(\frac{2^{2}}{5}\right)=1
$$

which gives, finally,

$$
\left(\frac{-59850}{29}\right)=1 \cdot(-1) \cdot 1 \cdot(-1)=1
$$

so yes, -59850 is a 29 -quadratic residue.
We could approach this another way too. $-59850 \equiv-23 \bmod 29$ so

$$
\left(\frac{-59850}{29}\right)=\left(\frac{-1}{29}\right) \cdot\left(\frac{23}{29}\right)=\left(\frac{23}{29}\right)
$$

since $23 \equiv 1 \bmod 4$. And $\frac{23-1}{2} \cdot \frac{29-1}{2}$ is even so

$$
\left(\frac{23}{29}\right)=\left(\frac{29}{23}\right)=\left(\frac{6}{23}\right)=\left(\frac{2}{23}\right) \cdot\left(\frac{3}{23}\right)
$$

$23 \equiv 7 \bmod 8$ so the first term is 1 . And $\frac{3-1}{2} \cdot \frac{23-1}{2}$ is odd so

$$
\left(\frac{3}{23}\right)=-\left(\frac{23}{3}\right)=-\left(\frac{2}{3}\right)=-(-1)=1
$$

since $3 \equiv 3 \bmod 8$.

One problem with all this, of course, is the number of factors involved and, most importantly, the initial factorization step, required to use the Legendre symbols as we have done.

A second problem is that once you know a number is a $p$-quadratic residue, how do you find its root?

The answer to the first issue is found in the next section. One answer to the second question will be found in the section after that. There, the Tonelli-Shanks Algorithm gives a "successive approximation" method that converges in polynomial time.

## 21. The Jacobi Symbol and its Reciprocity Law.

The Jacobi ${ }^{22}$ Symbol is defined in terms of Legendre Symbols, and its properties will allow us to calculate Legendre Symbols much more efficiently if the number involved is large with many factors.

If $a$ is any positive integer coprime to $b=p_{1} \cdot p_{2} \cdots p_{n}$ where the $p_{i}$ are odd primes we define the Jacobi Symbol $\left(\frac{a}{b}\right)$ by

$$
\left(\frac{a}{b}\right)=\left(\frac{a}{p_{1}}\right) \cdot\left(\frac{a}{p_{2}}\right) \cdots\left(\frac{a}{p_{n}}\right) .
$$

So if $b$ happens to be prime then Legendre and Jacobi Symbols agree.
The following properties are obvious and require no proof beyond observation.
21.1. Lemma. If $a, b, c$ and $d$ are positive integers and $c$ and $d$ are odd and $a b$ is coprime to $c d$ the following equalities hold for Jacobi Symbols (as we saw and used for Legendre Symbols earlier.)

$$
\left(\frac{a b}{c}\right)=\left(\frac{a}{c}\right)\left(\frac{b}{c}\right) \quad \text { and } \quad\left(\frac{a}{c d}\right)=\left(\frac{a}{c}\right)\left(\frac{a}{d}\right) .
$$

and, whenever $a \equiv b \bmod c$

$$
\left(\frac{a}{c}\right)=\left(\begin{array}{l}
\left.\frac{b}{c}\right) .
\end{array}\right.
$$

The following lemma is used to prove the main results in Theorem 21.3.
21.2. Lemma. We suppose that $a_{1}, a_{2}, \ldots a_{n}$ are odd and exceed 2.

$$
\begin{gathered}
\frac{a_{1} \cdots a_{n}-1}{2} \text { and } \sum_{i=1}^{n} \frac{a_{i}-1}{2} \text { are both even or both odd. } \\
\frac{\left(a_{1} \cdots a_{n}\right)^{2}-1}{8} \text { and } \sum_{i=1}^{n} \frac{a_{i}^{2}-1}{8} \text { are both even or both odd. }
\end{gathered}
$$

[^17]Proof. Because both $a_{1}$ and $a_{2}$ are odd $\frac{\left(a_{1}-1\right)\left(a_{2}-1\right)}{2}$ is even and

$$
\frac{\left(a_{1}-1\right)\left(a_{2}-1\right)}{2}=\frac{a_{1} a_{2}-1}{2}-\left(\frac{a_{1}-1}{2}+\frac{a_{2}-1}{2}\right)
$$

so the two terms on the right, which are both integers, are even or odd together.

Similarly, $\frac{\left(a_{1}^{2}-1\right)\left(a_{2}^{2}-1\right)}{8}$ is even and $\frac{a_{1}^{2} a_{2}^{2}-1}{8}$ is a whole number, a fact which can be shown by expanding $(2 k+1)^{2}(2 j+1)^{2}-1$ and observing it is always a multiple of 8 . Also

$$
\frac{\left(a_{1}^{2}-1\right)\left(a_{2}^{2}-1\right)}{8}=\frac{a_{1}^{2} a_{2}^{2}-1}{8}-\frac{\left(a_{1}^{2}-1\right)+\left(a_{2}^{2}-1\right)}{8}
$$

so the second fraction on the right must be a whole number too and the two terms are even or odd whole numbers together.

We have proven both parts of the lemma for the case $n=2$.
Suppose now we have proven the lemma for the case of $n=k \geq 2$ and $a_{1}, a_{2}, \ldots, a_{k+1}$ are odd. Let $b$ be the odd number $a_{1} \cdot a_{2} \cdots a_{k}$. By inductive assumption

$$
\begin{aligned}
& \frac{b \cdot a_{k+1}-1}{2} \text { and } \frac{b-1}{2}+\frac{a_{k+1}-1}{2} \text { are both even or both odd and } \\
& \frac{\left(b \cdot a_{k+1}\right)^{2}-1}{8} \text { and } \frac{b^{2}-1}{8}+\frac{a_{k+1}^{2}-1}{8} \text { are both even or both odd }
\end{aligned}
$$

and the terms $\frac{b^{2}-1}{8}$ and $\frac{a_{k+1}^{2}-1}{8}$ are both whole numbers as are, more obviously, $\frac{b-1}{2}$ and $\frac{a_{k+1}-1}{2}$.

The results of the lemma now follow by replacing the integers $\frac{b-1}{2}$ and $\frac{b^{2}-1}{8}$ by the appropriate sums involving $a_{1}, a_{2}, \ldots, a_{k}$, using the inductive assumption that the lemma is true for $k$ factors as a guarantor that "evenness or oddness" of the term being replaced is retained.

Using the lemma, it now follows that Legendre and Jacobi Symbols share other key properties besides those listed in Lemma 21.1, including a Law of Quadratic Reciprocity for Jacobi Symbols.
21.3. Theorem. If a and $c$ are distinct coprime odd positive integers then

$$
\begin{array}{ll}
\text { (i) } & \left(\frac{-1}{c}\right)=(-1)^{\frac{c-1}{2}} \\
\text { (ii) } & \left(\frac{2}{c}\right)=(-1)^{\frac{c^{2}-1}{8}} \\
\text { (iii) } & \left(\frac{a}{c}\right) \cdot\left(\frac{c}{a}\right)=(-1)^{\frac{c-1}{2} \cdot \frac{a-1}{2}} .
\end{array}
$$

Proof. (i) Suppose $a=a_{1} \cdots a_{n}$ and $c=c_{1} \cdots c_{k}$ expresses the odd positive numbers $a$ and $c$ as the product of primes. Then

$$
\begin{aligned}
\left(\frac{-1}{c}\right) & =\left(\frac{-1}{c_{1}}\right) \cdot\left(\frac{-1}{c_{2}}\right) \cdots\left(\frac{-1}{c_{k}}\right)=(-1)^{\frac{c_{1}-1}{2}}(-1)^{\frac{c_{2}-1}{2}} \cdots(-1)^{\frac{c_{k}-1}{2}} \\
& =(-1)^{\sum_{i=1}^{k} \frac{c_{i}-1}{2}}=(-1)^{\frac{c_{1} \cdot c_{2} \cdots c_{k}-1}{2}}=(-1)^{\frac{c-1}{2}}
\end{aligned}
$$

where the second to last equality in the last line follows from Lemma 21.2 .
The proof of (ii) is identical.
(iii) is a little trickier. Suppose $c=c_{1}$ is prime. We have

$$
\left(\frac{a}{c}\right)\left(\frac{c}{a}\right)=\left(\frac{a_{1}}{c}\right)\left(\frac{a_{2}}{c}\right) \cdots\left(\frac{a_{n}}{c}\right) \cdot\left(\frac{c}{a_{1}}\right)\left(\frac{c}{a_{2}}\right) \cdots\left(\frac{c}{a_{n}}\right) .
$$

Pairing the terms involving specific $a_{i}$ we have

$$
\begin{aligned}
\left(\frac{a}{c}\right)\left(\frac{c}{a}\right) & =(-1)^{\frac{c-1}{2} \cdot \frac{a_{1}-1}{2}} \cdots(-1)^{\frac{c-1}{2} \cdot \frac{a_{n}-1}{2}} \\
& \left.=(-1)^{\frac{c-1}{2} \cdot\left(\sum_{i=1}^{n} \frac{a_{i}-1}{2}\right.}\right)=(-1)^{\frac{c-1}{2} \cdot \frac{a-1}{2}}
\end{aligned}
$$

with the last equality following, again, from Lemma 21.2. So we have the result for any odd $a$ coprime to odd prime $c$.

But now for any $c=c_{1} \cdots c_{k}$ coprime to any odd $a$ we have

$$
\begin{aligned}
\left(\frac{a}{c}\right)\left(\frac{c}{a}\right) & =\left(\frac{a}{c_{1}}\right)\left(\frac{a}{c_{2}}\right) \cdots\left(\frac{a}{c_{k}}\right) \cdot\left(\frac{c_{1}}{a}\right)\left(\frac{c_{2}}{a}\right) \cdots\left(\frac{c_{k}}{a}\right) \\
& =(-1)^{\frac{c_{1}-1}{2} \cdot \frac{a-1}{2}} \cdot(-1)^{\frac{c_{2}-1}{2} \cdot \frac{a-1}{2}} \cdots(-1)^{\frac{c_{k}-1}{2} \cdot \frac{a-1}{2}} \\
& =(-1)^{\left(\sum_{i=1}^{k} \frac{c_{i}-1}{2}\right) \cdot \frac{a-1}{2}}=(-1)^{\frac{c-1}{2} \cdot \frac{a-1}{2}} .
\end{aligned}
$$

21.4. Remark. Recall the calculations in Remark 20.2, Let's use Jacobi Symbols to calculate whether -59850 is a 29 -quadratic residue without factoring except to remove factors of 2 .

$$
\begin{aligned}
-59850=(-1) \cdot 2 \cdot 29925 \text { and by division } 29925=1031 \cdot 29+26 . \text { So } \\
\begin{aligned}
\left(\frac{-59850}{29}\right) & =\left(\frac{-1}{29}\right)\left(\frac{2}{29}\right)\left(\frac{26}{29}\right)=\left(\frac{-1}{29}\right)\left(\frac{2}{29}\right)\left(\frac{2}{29}\right)\left(\frac{13}{29}\right) \\
& =\left(\frac{13}{29}\right)=\left(\frac{29}{13}\right)=\left(\frac{3}{13}\right)=\left(\frac{13}{3}\right)=\left(\frac{1}{3}\right)=1 .
\end{aligned}
\end{aligned}
$$

One potential issue when doing the calculation above involves replacing $\left(\frac{a}{b}\right)$ by $\left(\frac{b}{a}\right)$ when $a$ is coprime to $b$ and $b$ is larger than $a$. The next step is to write $b=k a+r$ and replace $\left(\frac{b}{a}\right)$ by $\left(\frac{r}{a}\right)$. We observe here the obvious fact that if $a$ and $r$ have a nontrivial common factor so too would the pair $a$ and $b$.

So if $a$ and $b$ start out coprime none of the subsequent steps in the calculation will produce a pair of integers upon which the Jacobi Symbol is to be evaluated that fail to be coprime.

## 22. The Tonelli-Shanks Algorithm for Producing Square Roots.

If you somehow determine that $a$ actually is a $p$-quadratic residue, the problem of how to calculate a square root of $a \bmod p$ remains.

One approach would be to pick a member of $I n t_{p}$ and examine all its even powers till $a+k p$ appears for some $k$, an obvious non-starter if $p$ is large.

Any odd prime $p$ is of the form $1+4 k$ or $3+4 k$.
If $p=3+4 k$ then let $r=a^{\frac{p+1}{4}}$. That exponent is a whole number which allows us to evaluate it in principle. It can also be evaluated in practice in a reasonable amount of time. Any positive power of any number $\bmod p$ can, a fact that is useful more generally.

Suppose $n$ is a positive integer. Then writing $n$ in base 2 we have
$n=2^{j}+a_{j-1} 2^{j-1}+\cdots+a_{1} \cdot 2+a_{0} \quad$ for certain $a_{i}$ all either 0 or 1 .
$j+1$ is the number of digits in a representation of $n$ in base 2 .
$a^{n}$ can be calculated by squaring $a$ and then squaring the result and repeating this $j$ times until $a^{2^{j}}$ is reached. Multiplying this by some of the previously calculated powers (those for which $a_{i} \neq 0$ ) produces $a^{n}$ in at most $2 j$ multiplications rather than $n$ multiplications.

In practice, after each step one would reduce the product integer $\bmod p$ to keep the size of the numbers no larger than $p$.

This is called, in the business, "polynomial time" and is regarded as manageable and the process we have just described is called the exponentiation algorithm.

In any event, by Euler's Criterion $r$ is seen to be a square root of $a$ :

$$
r^{2}=\left(a^{\frac{p+1}{4}}\right)^{2}=a^{\frac{p+1}{2}}=a^{\frac{p-1}{2}} \cdot a \equiv a \bmod p .
$$

The other square root is $p-r$.
The problem remains of how to proceed when $p \equiv 1 \bmod 4$.
Suppose $a$ is a $p$-quadratic residue for such a $p$. Then $a^{\frac{p-1}{2}} \equiv 1 \bmod p$.
Half the members of RelPrime Rere arequadratic non-residues.
Find one, call it $h$. Then $h^{\frac{p-1}{2}} \equiv-1 \bmod p$.
Write $p-1$ in the form $s \cdot 2^{r}$ for odd $s$ and $r \geq 2$.

We will create a sequence of "approximate square roots to $a$ " which must terminate in no more than $r$ steps at a true square root of $a$.

This is the Tonelli-Shanks algorithm ${ }^{23}$.
Let $x_{0}$ be the $\bmod p$ residue of $a^{\frac{s+1}{2}}$ and $k$ the $\bmod p$ residue of $h^{s}$.
So $k^{2^{r}} \equiv h^{p-1} \equiv 1 \bmod p$ and also

$$
\left(\frac{x_{0}^{2}}{a}\right)^{2^{r-1}} \equiv a^{s \cdot 2^{r-1}} \equiv a^{\frac{p-1}{2}} \equiv 1 \quad \bmod p
$$

because $a$ is known to be a $p$-quadratic residue.
So we know the order of the element $\frac{x_{0}^{2}}{a}$ is a power of 2 , say $2^{t_{0}}$ where $t_{0}$ cannot exceed $r-1$.

Suppose now that we have created $x_{i}$ for which $\frac{x_{i}^{2}}{a}$ has order $2^{t_{i}}$ where $t_{i} \geq 0$, as we have done for $i=0$.

If $t_{i}=0$ we are done, because in that case $x_{i}^{2}=a$ and we have found our square root. Otherwise $t_{i} \geq 1$ and we proceed as follows.

Define $x_{i+1}$ be the $\bmod p$ residue of $x_{i} \cdot k^{2^{r-t_{i}-1}}$.

$$
\begin{aligned}
\left(\frac{x_{i+1}^{2}}{a}\right)^{2^{t_{i}-1}} & \equiv\left(\frac{x_{i}^{2}\left(k^{2^{r-t_{i}-1}}\right)^{2}}{a}\right)^{2^{t_{i}-1}} \equiv\left(\frac{x_{i}^{2}}{a}\right)^{2^{t_{i}-1}} \cdot\left(k^{2^{r-t_{i}}}\right)^{2^{t_{i}-1}} \\
& \equiv\left(\frac{x_{i}^{2}}{a}\right)^{2^{t_{i}-1}} \cdot k^{2^{r-1}} \equiv(-1)(-1) \equiv 1 \quad \bmod p
\end{aligned}
$$

where the $(-1)$ equivalencies are due to the fact that $\frac{x_{i+1}^{2}}{a}$ has order exactly (not less than) $2^{t_{i}}$ and $k^{2^{r-1}} \equiv h^{\frac{p-1}{2}} \equiv-1 \bmod p$.

It follows that $\frac{x_{i+1}}{a}$ has order dividing $2^{t_{i}-1}$. Choose $t_{i+1}$ so that $2^{t_{i+1}}$ is that order. So $t_{i+1}<t_{i}$.

We iterate for $n$ steps until $t_{n}=0$. The number $n$ cannot exceed $r$. Then $x_{n}$ and $p-x_{n}$ are the two $\bmod p$ square roots of $a$.
$r$ itself cannot exceed the number of digits in the binary representation of $p-1$. Using an efficient method to calculate powers (needed to determine $x_{i+1} \equiv x_{i} \cdot k^{2^{r-t_{i}-1}}$ and the exact order of $x_{i+1}$ ) each step takes polynomial time. So the Tonelli-Shanks algorithm itself takes polynomial time to implement.

There is a probabilistic component here: the selection of a $p$-quadratic non-residue $h$. Half the members of RelPrime $_{p}$ are non-residues, but there is no absolute guarantee that your first try, or any number of tries up to

[^18]$\frac{p-1}{2}$, will succeed in finding one. The probability of success in $n$ attempts to locate a non-residue exceeds $1-\frac{1}{2^{n}}$ so this may not be a real worry in practice.

## 23. Public Key Encryption.

Our goal here is to understand some of the issues involved in modern encryption technology and, in particular, we describe a version of the $\mathbf{R S A}$ cryptosystem below. ${ }^{24}$

The purpose of encryption is to conceal the meaning of a message from those not authorized by the sender to have that message.

One ancient means of encryption is to simply disguise the letters of the message. For instance the table

$$
\begin{array}{cccccc}
A 01 & B 02 & C 03 & D 04 & E 05 & F 06 \\
G 07 & H 08 & I 09 & J 10 & K 11 & L 12 \\
M 13 & N 14 & O 15 & P 16 & Q 17 & R 18 \\
S 19 & T 20 & U 21 & V 22 & W 23 & X 24 \\
Y 25 & Z 26 & & & &
\end{array}
$$

allows us to disguise "SECRETDECODERRING" as

$$
" 1905031805200405031504051818091407 "
$$

This primitive method of disguising the meaning of the message could not fool anyone for long, so "encoded" messages of this kind as well as the original message would both be called "plaintext." It is our goal to discover a general method that could turn plaintext, which anyone can understand with more or less effort, into "ciphertext" which no one, not even the NSA, can turn back into plaintext by any known method without your permission. The process of creating ciphertext from plaintext is called encryption. The process of turning ciphertext into plaintext is called decryption.

Here are the "nuts and bolts" of such a process. You can estimate by what we know and the comments below that the tasks you are required to perform at each step can be done, and that factoring the integers involved cannot be done, by any known method in a practical amount of time (i.e. polynomial time) for numbers in the range of thousands of digits.

First we create the public/private key-pair to set up the encryption system. This is done once and used for any number of encrypted messages. Second, the sender encrypts and the receiver decrypts a message.

[^19](1a) Produce distinct large primes $p$ and $q$. Let $n=p q$ and calculate $\phi(n)=(p-1)(q-1)$. We will also need a number $w$ which must be relatively prime to $\phi(n)$. For instance $w$ could be a third prime and we make this choice. To avoid values which are too small or unnecessarily large we will choose $w$ so that $\sqrt{\phi(n)}<w<\phi(n)$.
(1b) Calculate $d$ and $k$ with $0<d<\phi(n)$ and $w d+k \phi(n)=1$.
(1c) Destroy all record of $p, q, k$ and $\phi(n)$. Give the intended recipient of the encrypted messages the private key $d$ using a very private and secure method. Destroy all other record of $d$. Make generally available the public key consisting of the two numbers $w$ and $n$.
(2a) Encryption: Turn your message into a plaintext number and break it into pieces smaller than $n$. Let message $m$ be one of these pieces of plaintext, which we assume to be neither 1 nor any multiple of $p$ or $q^{25}$. . Note $m^{\phi(n)} \equiv 1 \bmod n$. Calculate the unique number $c \equiv m^{w} \bmod n$ with $0<c<n . \quad c$ is the ciphertext. Send $c$ to the private key holder by any means you like.
(2b) Decryption: The private keyholder calculates the unique number $\bar{m} \equiv c^{d} \bmod n$ with $0<\bar{m}<n$. The number $\bar{m}$ is $m$ and the message is decrypted.

That is all there is to it in practice, though some comments on the steps listed above are in order.
(1a) To get started, we must produce large primes $p, q$ and $w$. The level of security in the encryption scheme is dependent on their size, so we require them to have binary representation longer than some predetermined number (typically thousands) of binary digits. So from a practical standpoint it is important to be able to estimate the likelihood that a randomly chosen integer is prime.

The incredibly prolific Leonhard Euler proved in 1737 that the prime numbers, scattered among the integers, are "not very sparse" in the following sense. He showed that if $p_{1}, p_{2}, \ldots$ is a listing of the distinct primes in increasing order that $\sum_{i=1}^{\infty} \frac{1}{p_{i}}=\infty$, a feature this series shares $\sum_{n=1}^{\infty} \frac{1}{n}$.

Euler noted that if $N$ is a positive integer none of the $p_{i}$ for $i=1, \ldots, K$ are factors of $N Z+1$ where $Z=p_{1} \cdots p_{K}$. Thus each $N Z+1$ must have prime factorization involving only powers of those primes larger than $p_{K}$.

[^20]If $\sum_{i=1}^{\infty} \frac{1}{p_{i}}$ were to converge we could find $K$ so that $\sum_{i=K+1}^{\infty} \frac{1}{p_{i}}<\frac{1}{2}$. We would have then, for each $T$,

$$
\sum_{n=1}^{T} \frac{1}{n Z+1} \leq \sum_{j=0}^{\infty}\left(\sum_{i=K+1}^{\infty} \frac{1}{p_{i}}\right)^{j} \leq \sum_{j=0}^{\infty}\left(\frac{1}{2}\right)^{j}=2
$$

Since $\sum_{n=1}^{T} \frac{1}{n Z+1} \geq \sum_{n=1}^{T} \frac{1}{n Z+n}=\frac{1}{Z+1} \sum_{n=1}^{T} \frac{1}{n}$, and the series on the right is known to diverge, we have a contradiction.

However "not very sparse" is uselessly vague for practical purposes.
In fact large primes are common. If $\boldsymbol{\pi}(\boldsymbol{n})$ is the number of primes not exceeding $n$ then

$$
\lim _{n \rightarrow \infty} \frac{\pi(n) \ln (n)}{n}=1 \quad(\ln (n) \text { is the natural } \log \text { of } n .)
$$

This is the Prime Number Theorem and was proved by both Hadamard and de la Vallée Poussin in 1896 using techniques invented by Riemann and his Riemann zeta function. ${ }^{26}$

So the probability that a randomly selected integer of size no larger than $n$ is prime is roughly $1 / \ln (n)$ for large $n$. This tells us how many numbers of a specified size we should expect to examine before finding a prime, and this number is manageable, even for huge $n$. But this result only talks about limits and we would like even more specificity.

A far more informative result (again, from a practical standpoint) was proved by Pierre Dusart in 2010 who showed that

$$
\frac{n}{\ln (n)-1}<\pi(n)<\frac{n}{\ln (n)-1.1}
$$

where the first inequality holds for $n \geq 5393$ and the second for $n \geq 60184$.
A candidate prime $j$ of proper size is randomly selected. If $j$ is prime then $m^{j} \equiv m \bmod j$ for all $m$ with $1 \leq m<j$. Even if $j$ is not prime, it still could happen that $m^{j} \equiv m \bmod j$ for a positive value (or even all positive values ${ }^{27}$ ) of $m$ coprime to $j$. Candidate primes $j$ are tested one after another until one is found that "passes this test," called the Fermat Test, for a sufficient number of randomly chosen different coprime numbers $m$. When that happens $j$ is simply assumed to be prime: an "Industrial Grade

[^21]Prime" or Fermat pseudoprime, if not an actual prime. ${ }^{28}$ It is nowadays not hard to produce numbers with binary representation having length beyond a thousand digits and which have an extremely high probability of being prime. Encryption keys are formed using these.
(1b) Calculate $d$ and $k$ with $w d+k \phi(n)=1$ using Euclid's algorithm. Select $d$ so that $0<d<\phi(n)$.
(1c) If the public could factorize $n$ it would know $\phi(n)$ and therefore the private key $d$. The key to the security of this system is only the apparently intractable problem of factoring large integers. It seems that no one knows how to factorize $n$ without exhaustively examining the potential keyspace to determine factors: all numbers, essentially, up to $\sqrt{n}$. To factorize an integer without small factors whose binary representation contains 128 digits would seem to require around six months if potential factors were checked at a rate of $10^{12}$ per second. Using 2048 digits creates a keyspace more than $10^{250}$ times larger. The "exhaustion" method of factorization, I think it is safe to say, cannot crack such an integer during the lifetime of our species. However no one has proven that factorization cannot be accomplished by some alternative, faster, method. This would break the RSA cryptosystem. If you discover such a method you are well advised to consider carefully who to tell, and how to tell them.
(2a) To encrypt, we will indicate how to efficiently calculate $c \equiv m^{w}$ $\bmod n$ with an example.
(2b) To decrypt we need to calculate $m \equiv c^{d} \bmod n$ by the same method.
To see that $\bar{m}=m$ we note that $0<\bar{m}<n$ and

$$
\bar{m} \equiv c^{d} \equiv\left(m^{w}\right)^{d} \equiv m^{1-k \phi(n)} \equiv m\left(m^{\phi(n)}\right)^{-k} \equiv m(1)^{-k} \equiv m \quad \bmod n
$$

Given the size restrictions on $m$ and $\bar{m}$ this means they are equal.
23.1. Remark. There is complete symmetry between private and public key. In the example above we used a public key to encrypt information only one private key can decrypt. But a private key could be used to encrypt information that only the paired public key could decrypt. You as a ciphertext recipient want to be sure the message you decrypt actually came from the right person, and is not a fake message. After all, anyone can use your public key to create a message only you can decrypt. How would you modify the encryption system so you can be sure only the expected person could have sent it? This is the process of creating a digital signature to verify the

[^22]authenticity of documents, and is a vital part of any cryptosystem. (hint: Each person in an exchange can create their own key-pair.)

## 24. An Example of Encryption.

First we create a public-private key-pair.
The key-pair maker ${ }^{29}$ chooses primes $p=101$ and $q=107$. So $n=p \cdot q=$ 10807. This number should be large enough to defy any known means of factorization, but of course here it can easily be factored.

Then $\phi(n)=10600$ and $w$, a number relatively prime to $\phi(n)$, is selected. Let's pick $w=113$.

Calculate $d$ and $k$ for which

$$
113 \cdot d+k \cdot 10600=1 \quad \text { and } \quad 0<d<10600 .
$$

We saw in Remark 4.18 that $d=3377$ and $k=-36$, though all we need here is $d$.

The private keyholder is given and retains (securely) the private key $d=$ 3377. The numbers $w=113$ and $n=10807$ are distributed to any potential message senders. These last two numbers constitute the public key. $\phi(n)=$ 10600 has served its purpose. The key-pair maker discards $\phi(n)$ and the private key $d$ as well.

The only record of the private key must be in one or more secure locations, accessible to the private keyholder but not to the public.

Let's say our private keyholder has done all this, and we want to secretly send the message 100 to him or her.

We calculate $100^{113} \bmod 10807$ to create ciphertext $c=8382$. We send this ciphertext over a possibly insecure channel.

Our friend, who alone possesses the key $d$, calculates $8382^{3377} \bmod 10807$. It is 100 and the plaintext is recovered.

There is only one small wrinkle here: how does one calculate these huge powers mod 10807? The exponentiation algorithm accomplishes this, as illustrated below.

The two residues we must calculate to follow the instructions from above are the residues of the numbers

$$
\begin{gathered}
100^{113}=100^{64} \cdot 100^{32} \cdot 100^{16} \cdot 100 \quad \text { and } \\
8382^{3377}=8382^{2048} \cdot 8382^{1024} \cdot 8382^{256} \cdot 8382^{32} \cdot 8382^{16} \cdot 8382 .
\end{gathered}
$$

[^23]With numbers of this size you can actually use a calculator to keep track and do the calculations in a few minutes, though it would be a modest job to program the work on a computer.

To find the residue of $a$ with modulus $n$ for large $a$ simply calculate the integer part, $k$, of $a / n$. So $a-k \cdot n$ (which is less than $n$ ) is the number you want.

We use a table to keep track of residues with modulus 10807:

$$
\begin{aligned}
& 100^{4} \equiv 2829 \quad 100^{8} \equiv 6061 \quad 100^{16} \equiv 2728 \quad 100^{32} \equiv 6768 \quad 100^{64} \equiv 5758 . \\
& 8382^{2} \equiv 1617 \quad 8382^{4} \equiv 10202 \quad 8382^{8} \equiv 9394 \quad 8382^{16} \equiv 8081 \\
& 8382^{32} \equiv 6667 \quad 8382^{64} \equiv 10505 \quad 8382^{128} \equiv 4748 \quad 8382^{256} \equiv 102 \\
& 8382^{512} \equiv 10404 \quad 8382^{1024} \equiv 304 \quad 8382^{2048} \equiv 5960
\end{aligned}
$$

It still takes a while, but all the work shown above can be done in ten minutes with a calculator if you are efficient.

Now we have

$$
\begin{aligned}
100^{113} & =100^{64} \cdot 100^{32} \cdot 100^{16} \cdot 100 \\
& \equiv 5758 \cdot 6768 \cdot 2728 \cdot 100 \equiv 102 \cdot 2728 \cdot 100 \equiv 8081 \cdot 100 \equiv 8382
\end{aligned}
$$

and

$$
\begin{aligned}
8382^{3377} & =8382^{2048} \cdot 8382^{1024} \cdot 8382^{256} \cdot 8382^{32} \cdot 8382^{16} \cdot 8382 \\
& \equiv 5960 \cdot 304 \cdot 102 \cdot 6667 \cdot 8081 \cdot 8382 \\
& \equiv 7980 \cdot 6667 \cdot 8081 \cdot 8382 \equiv 10606 \cdot 8081 \cdot 8382 \\
& \equiv 7576 \cdot 8382 \equiv 100 .
\end{aligned}
$$

For practice, decrypt the ciphertext 4243 and turn it into legible English. ${ }^{30}$

[^24]
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[^0]:    ${ }^{1}$ Section 23 is a review of the RSA cryptosystem. To understand how public-key systems operate in practice you must accept several specific results as "given." This is a natural gateway to the further study of number theory.

[^1]:    ${ }^{2}$ Euclid of Alexandria circa 300 BCE reputedly assembled and organized and improved the work of previous mathematicians in The Elements. Earlier mathematicians who probably contributed included Pythagoras circa 570-495 BCE, Hippocrates of Chios 470-410 BCE and Eudoxus of Cnidus circa 408-355 BCE. It is the most successful text ever written, having been used continuously in one form or another for over 2000 years as the primary text for mathematical instruction in Europe and the Islamic countries.

[^2]:    ${ }^{3}$ Gabriel Lamé 1795-1870.
    ${ }^{4}$ Leonardo Fibonnaci, 1175-1250, who introduced his eponymous numbers and the Hindu-Arabic number system in general to Europeans and studied their properties, was an Italian mathematician with extensive contact with the Arabic world through his travels in Northern Africa.

[^3]:    ${ }^{5}$ Diophantus of Alexandria circa 200-300 AD

[^4]:    ${ }^{6}$ What do you think the word "infinitude" means here?
    ${ }^{7}$ In some sources this is called the least non-negative residue.
    8 "Equivalent $\bmod n$ " and "congruent $\bmod n$ " are widely used synonymous expressions.

[^5]:    ${ }^{9}$ Many texts use $\mathbb{Z} / k \mathbb{Z}$ or $\mathbb{Z}_{k}$ to denote this collective. The former is ugly and the latter clashes with an identical notation for the $k$-adic integers, which we do not consider here.
    ${ }^{10}$ Any definition given for sets of integers by operations on a generic member of the set must be shown to be unambiguous: that the result is independent of which representative is picked. When this is true we say the operation or construction is well defined. Showing a definition given this way is well defined is not optional.

[^6]:    ${ }^{11}$ Pierre de Fermat 1607-1665. Strikingly, Fermat was a lawyer, not a professional mathematician. That makes his numerous contributions to precursor work for infinitesimal calculus, analytic geometry, probability, optics and, especially, number theory all the more impressive. At the time he did not publish, but letters containing his many results and sent to mathematician friends made his results known. The importance of his many contributions to number theory were not fully understood until the time of Euler, 80 years later.

[^7]:    ${ }^{12}$ This is not the military strategist Sun Tzu, who authored "The Art of War" sometime around 500 BCE. Almost nothing is known about this mathematician, from which it is deduced that he was not a government official or from a family of high standing. The nature of the problems he solves in this work suggests he was, possibly, a Buddhist and interested in various social issues.

[^8]:    ${ }^{13}$ Leonhard Euler 1707-1783

[^9]:    ${ }^{14}$ Karl Friedrich Gauss 1777-1855

[^10]:    ${ }^{15}$ Joseph-Louis Lagrange 1736-1813

[^11]:    ${ }^{16}$ This theorem was stated as true by Ibn al-Haytham 965-1040 AD around 1000 AD and again, about 750 years later, by John Wilson 1741-1793. Lagrange gave the first actual proof in 1771 .

[^12]:    ${ }^{17}$ Technically speaking, $x^{8}+9 x+8$ and $3 x+1$ are not equivalent mod 7 as polynomials which, by definition, must have terms of identical degree and congruent corresponding

[^13]:    ${ }^{18}$ We used the fact that $3 \mid f^{\prime}(4)$, determined in the previous example. We know then that $3 \mid f^{\prime}(13)$ and $3 \mid f^{\prime}(22)$ since both 13 and 22 differ from 4 by a multiple of 3 .

[^14]:    ${ }^{19}$ Adrien-Marie Legendre 1752-1833

[^15]:    ${ }^{20}$ That there is such an integer for an $s, t$ combination and that it would be the same if calculated using any rational $\frac{a}{b}$ equivalent to $\frac{s}{t}$ requires a little argument.

[^16]:    ${ }^{21}$ Ferdinand Gotthold Max Eisenstein 1823-1852

[^17]:    ${ }^{22}$ Carl Gustav Jacobi 1804-1851

[^18]:    ${ }^{23}$ The algorithm was described by Alberto Tonelli in 1891 and placed in modern form by Daniel Shanks in 1973.

[^19]:    ${ }^{24}$ RSA refers to the names of mathematicians Ron Rivest, Adi Shamir, and Leonard Adleman who publicized the algorithm in 1978. Apparently the method was invented (published in documents classified by the British government) in 1973 by the English mathematician Clifford Cocks.

[^20]:    ${ }^{25}$ If $p$ and $q$ are in the neighborhood of a thousand digits, what is the probability that some random message violates this constraint? How could you know, before sending the message, if it was a "problem" $m$ value? How would you "fix it" if by some extreme fluke your message happens to be a number that does?

[^21]:    ${ }^{26}$ The mathematicians mentioned here are Jacques Hadamard 1865-1963 and Charles Jean de la Vallée Poussin 1866-1962 and the great Bernhard Riemann 1826-1866.
    ${ }^{27}$ Such numbers are called Carmichael numbers. The smallest is $561=3 \cdot 11 \cdot 17$, found by Robert Carmichael in 1910. There are an infinite number of these, but they become very scarce as their size increases. Exactly how scarce is an important and open question. For instance, numerical studies find that the probability that a randomly chosen number less than $n=10^{21}$ is Carmichael is about 1 in $5 \cdot 10^{13}$. In 1956 Paul Erdös 19131996 proved that there is a positive constant $k$ so that this probability cannot exceed $\exp \left(\frac{-k \cdot \ln (n) \cdot \ln \ln \ln (n)}{\ln \ln (n)}\right)$ for any $n$. There is good reason to suspect that $k$ is at least 1 . For a number $n$ with 300 decimal digits and if $k=1$ this probability is about $1.9 \cdot 10^{-29}$.

[^22]:    ${ }^{28}$ The quality of the pseudoprime (that is, the probability that it is an actual prime) depends on the number of times it was tested and found to be "prime-like." If a number is not a Carmichael number and not a prime then it will fail the Fermat primality test for at least half of the smaller coprime $m$ values, a fact that follows from Corollary 10.8. So if it is not a Carmichael number, after passing $t$ "Fermat tests" the probability that it is prime is at least $1-1 / 2^{t}$.

[^23]:    ${ }^{29}$ Often the key-pair maker is an application on the private keyholder's computer, and once the key pair is constructed the public key is uploaded to a library of public keys accessible to anyone and searchable by name or email address of the private keyholder.

[^24]:    ${ }^{30}$ It is interesting that for all even powers of 4243 the second two digits of the four-digit residuals form a number that is 1 larger than the first two. And even powers of a number like 8383 have residuals with repeating pairs of digits. Do you know why?

